

# Quantum cryptography

Vadim Makarov

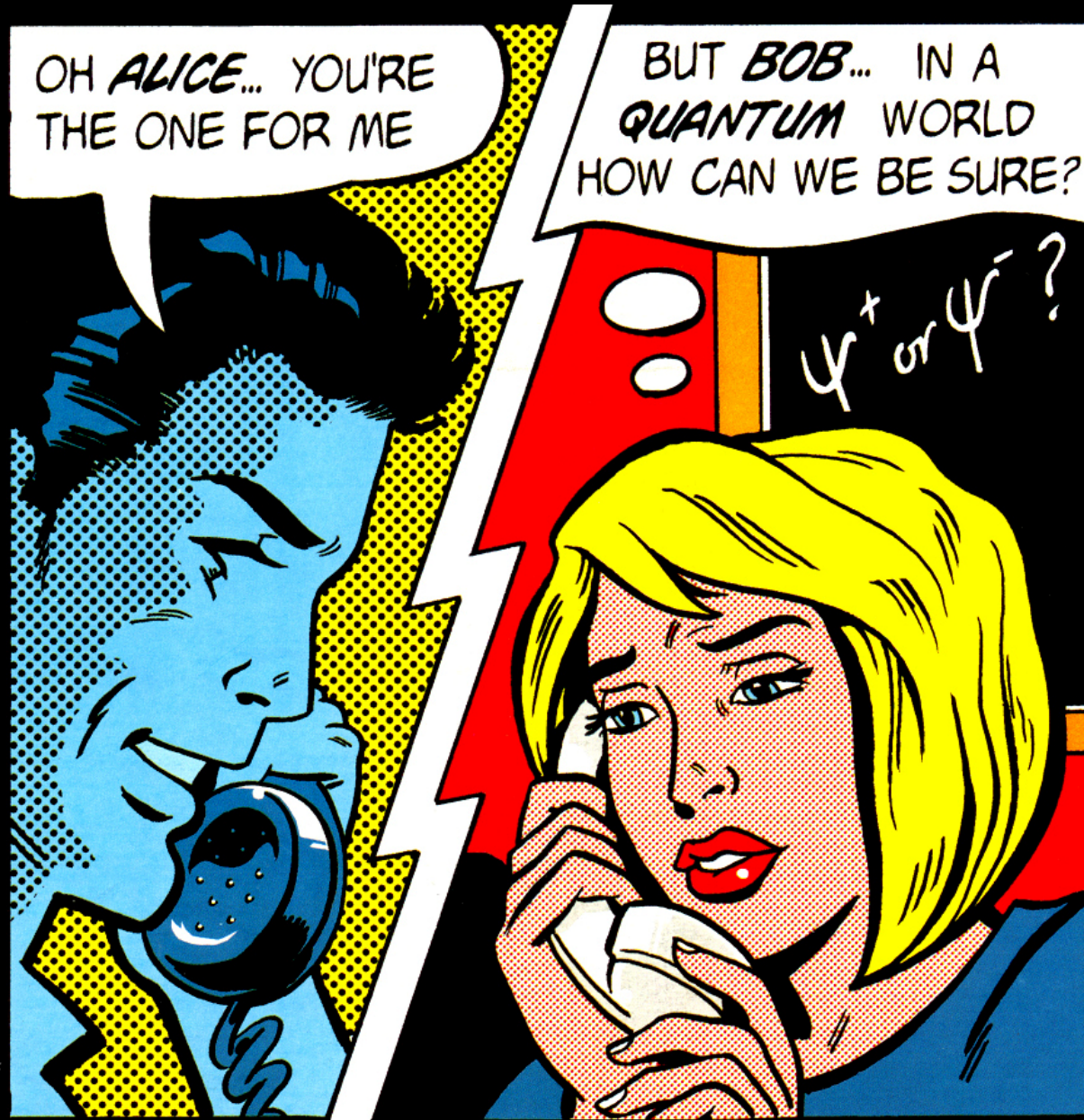


Image from cover of Physics World, March 1998

# Communication security you enjoy daily

Paying by credit card in a supermarket

Cell phone conversations, SMS

Email, chat, online calls

Secure browsing, shopping online

Cloud storage and communication between your devices

Software updates on your computer, phone, tablet

Online banking

Off-line banking: the *bank* needs to communicate internally

Electricity, water: the *utility* needs to communicate internally

Car keys

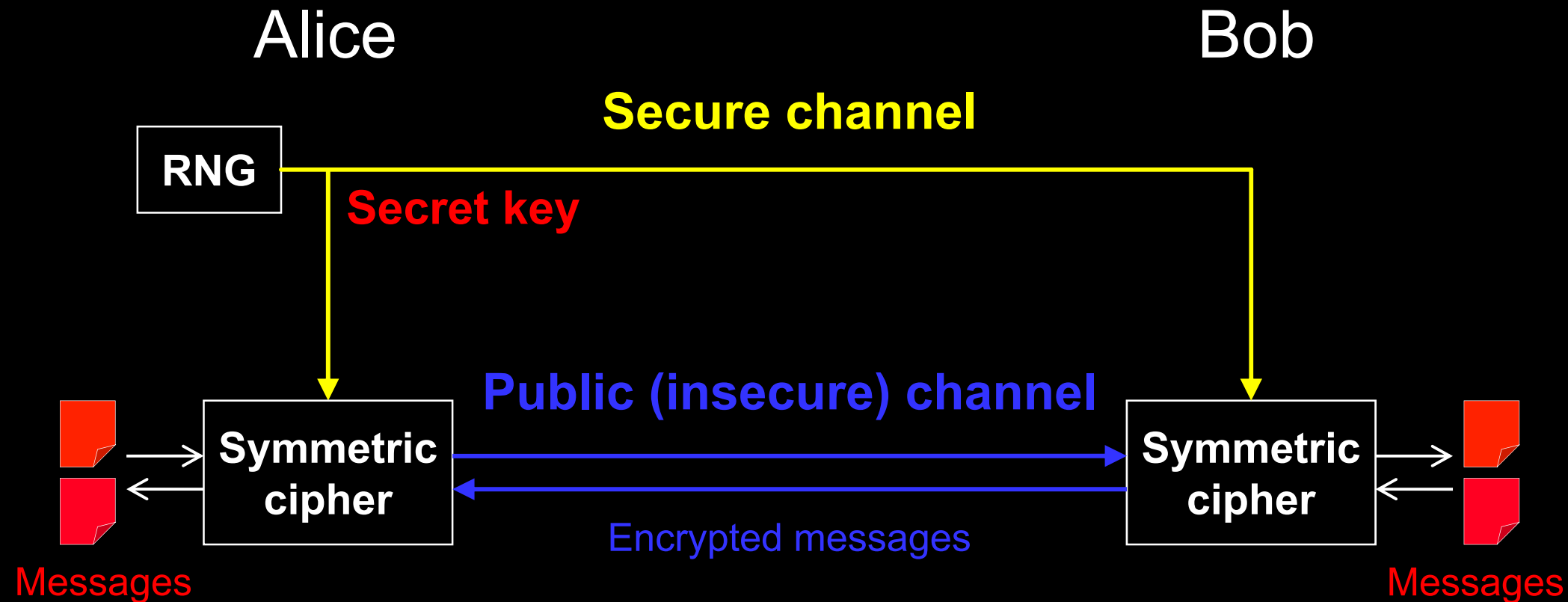
Electronic door keys

Government services (online or off-line)

Medical records at your doctor, hospital

Bypassing government surveillance and censorship

# Encryption and key distribution



Quantum key distribution transmits secret key by sending quantum states over *open channel*.

# Public key cryptography

E.g., RSA (Rivest-Shamir-Adleman)

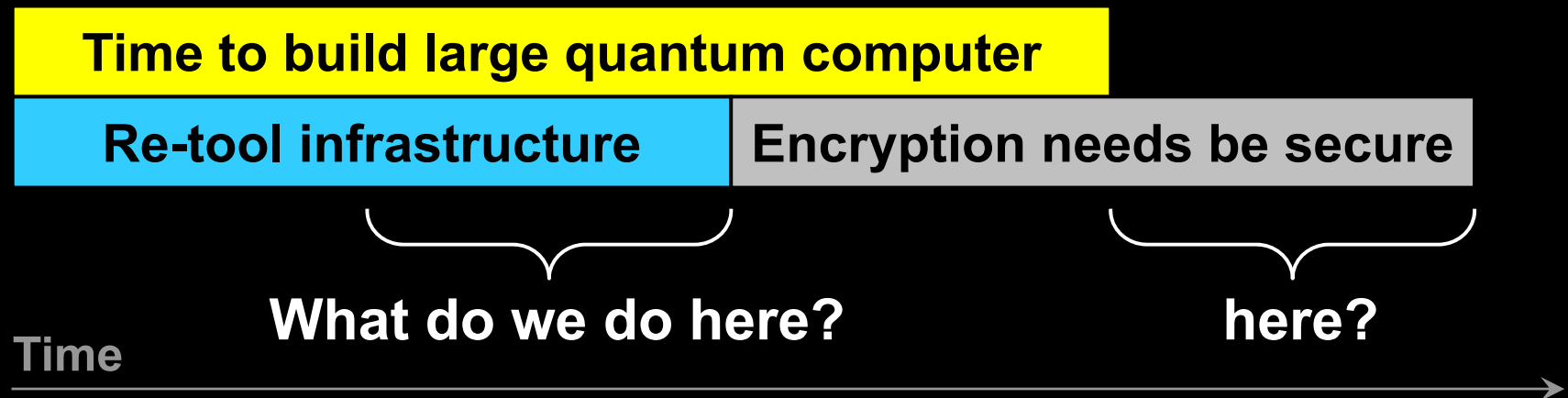
Elliptic-curve

Based on *hypothesized* one-way functions

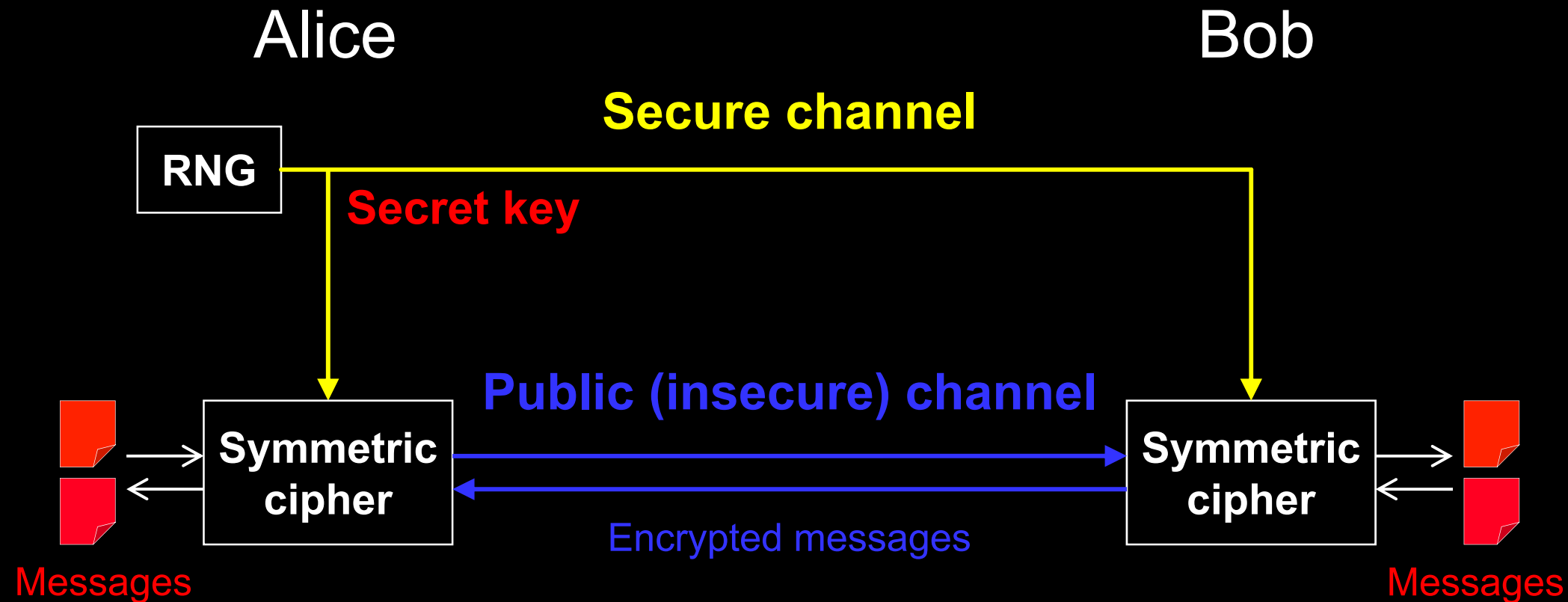
✂ Unexpected advances in classical cryptanalysis

✂ Shor's factorization algorithm for quantum computer

P. W. Shor, SIAM J. Comput. 26, 1484 (1997)



# Encryption and key distribution



Quantum key distribution transmits secret key by sending quantum states over *open channel*.

# Quantum key distribution (QKD)

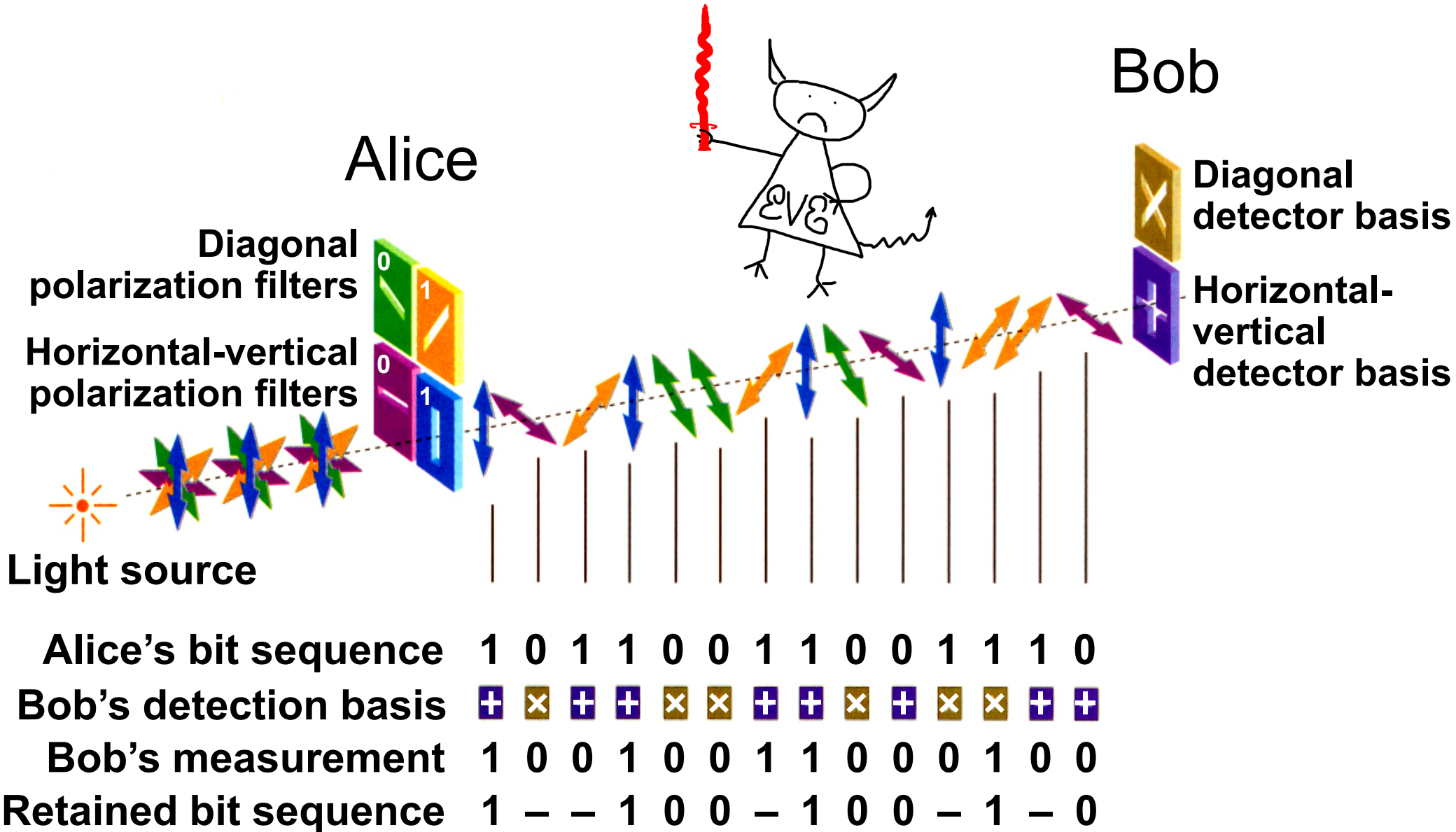


Image reprinted from article: W. Tittel, G. Ribordy & N. Gisin, "Quantum cryptography," Physics World, March 1998

# Dealing with errors

Errors due to imperfections and Eve.

Must assume that all errors are due to Eve!

- Error correction: standard classical protocols
- Privacy amplification:

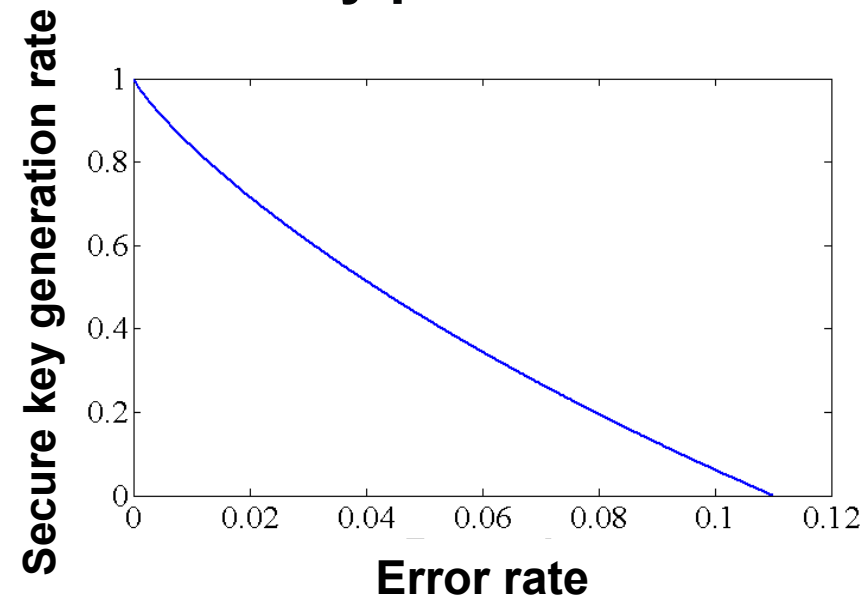
secure key

random matrix

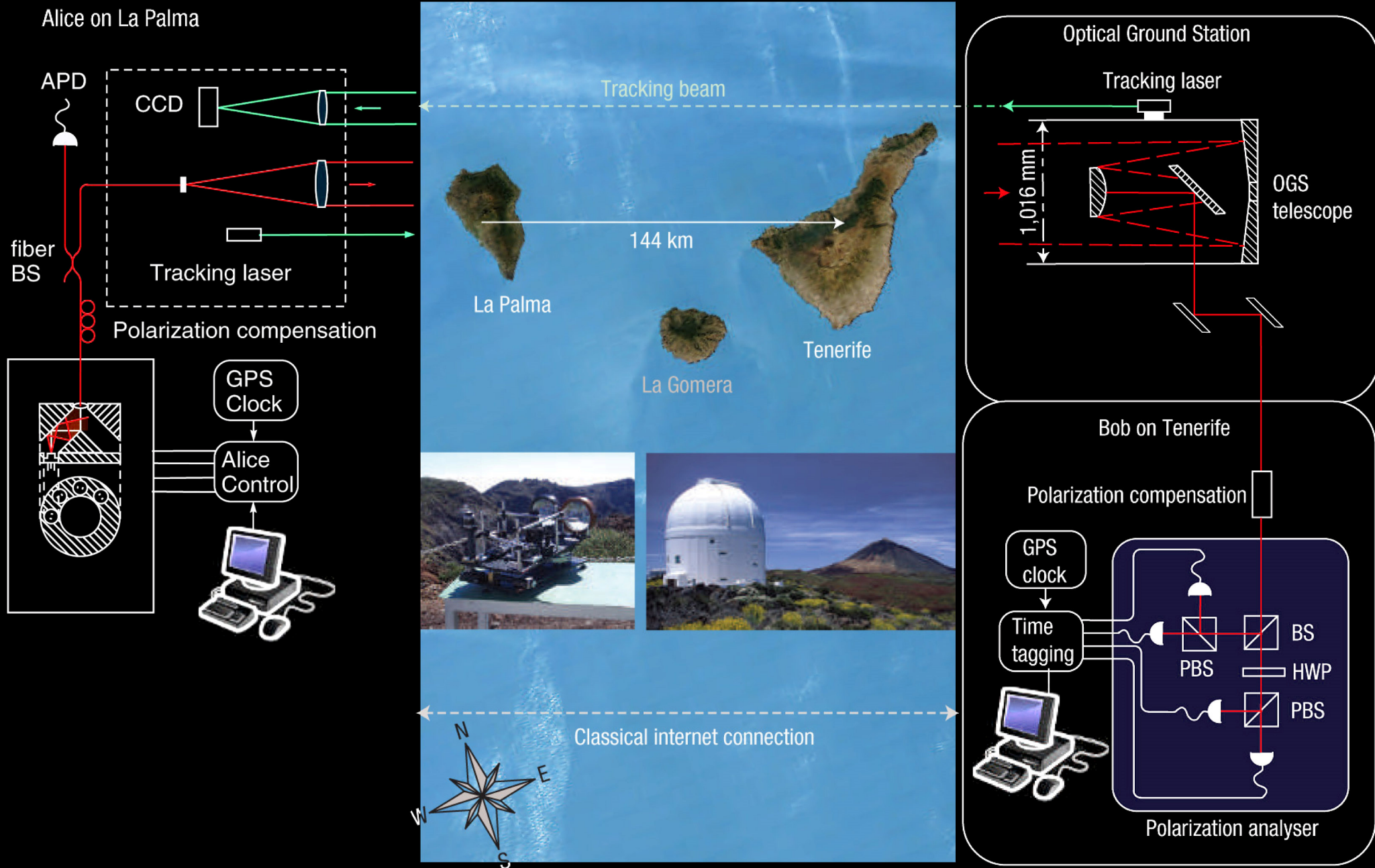
raw key

$$\begin{bmatrix} 0 \\ 1 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 1 \end{bmatrix}$$

Security proof:

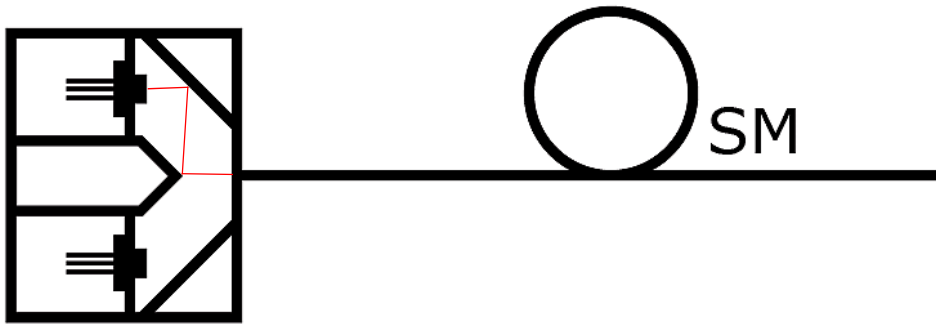


# Free-space QKD over 144 km



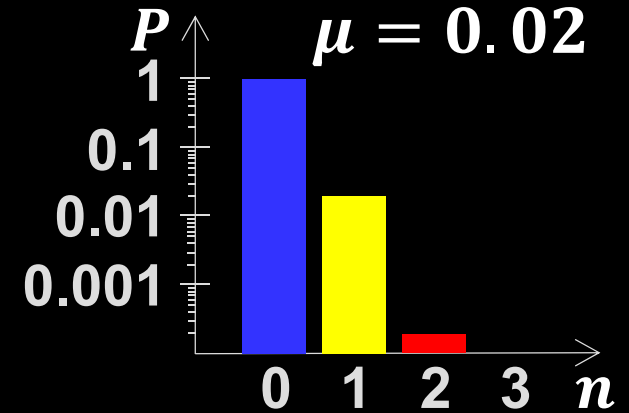
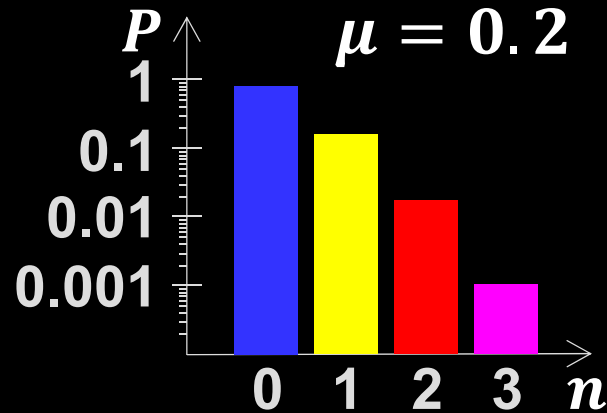
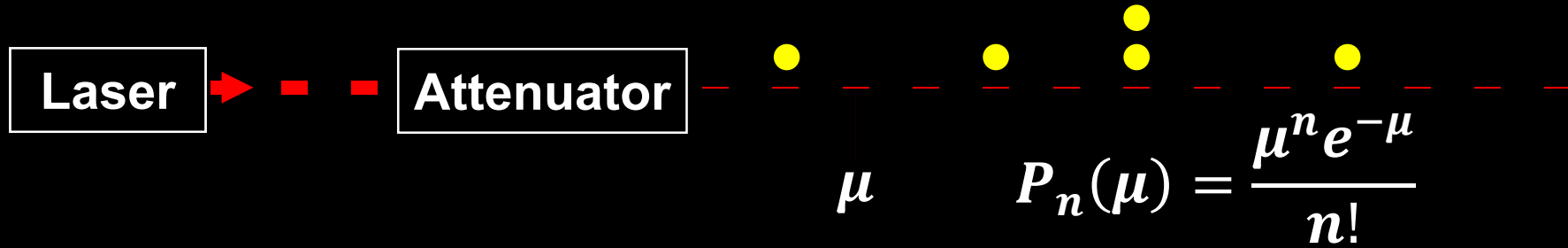


# Alice: Polarized photon source

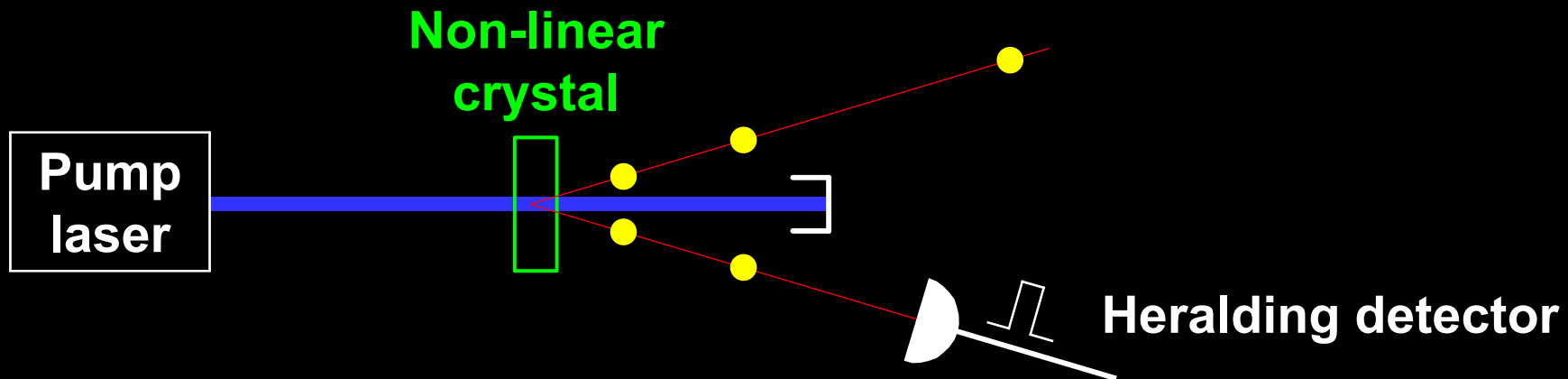


# Single-photon sources

## Attenuated laser

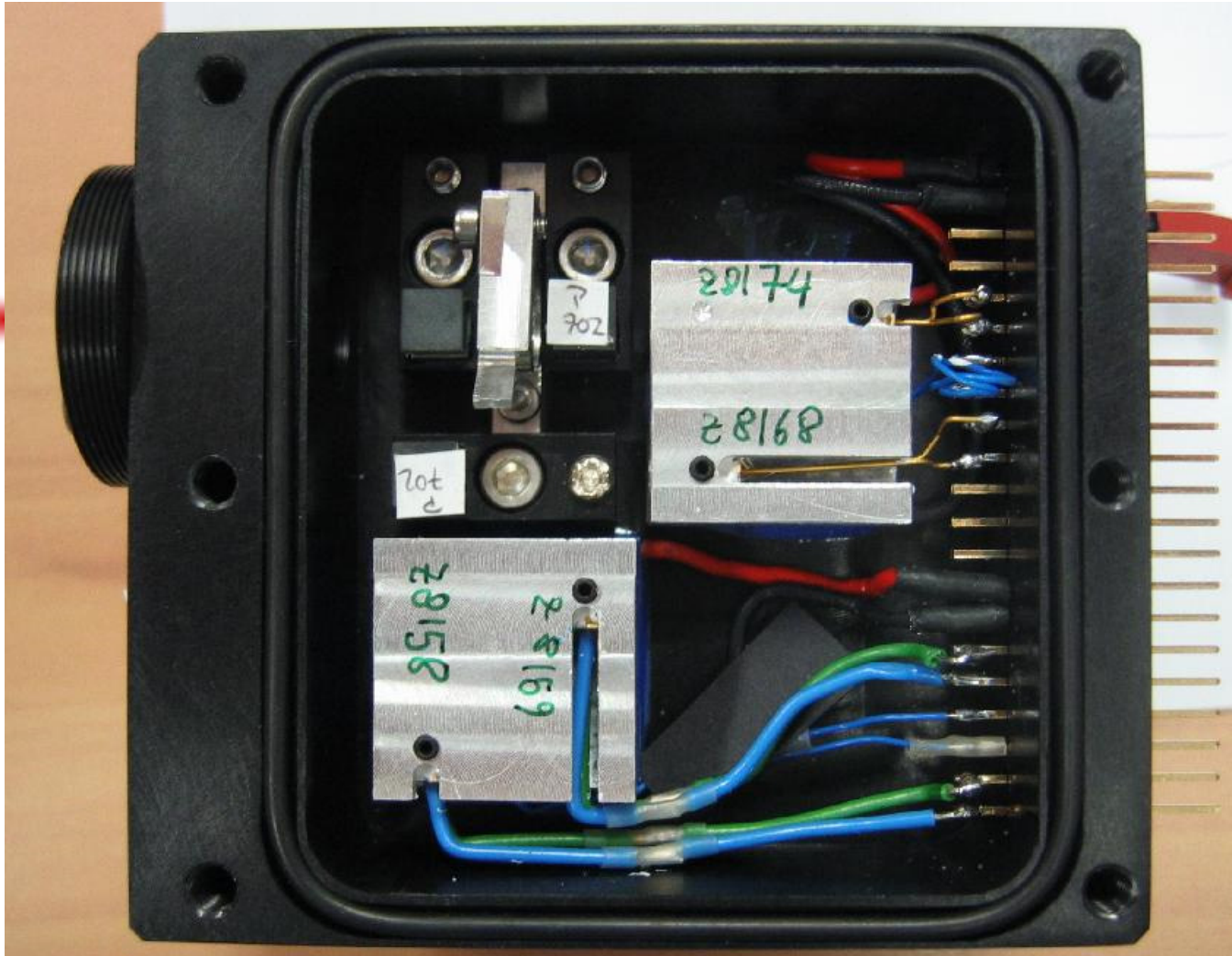


## Parametric down-conversion



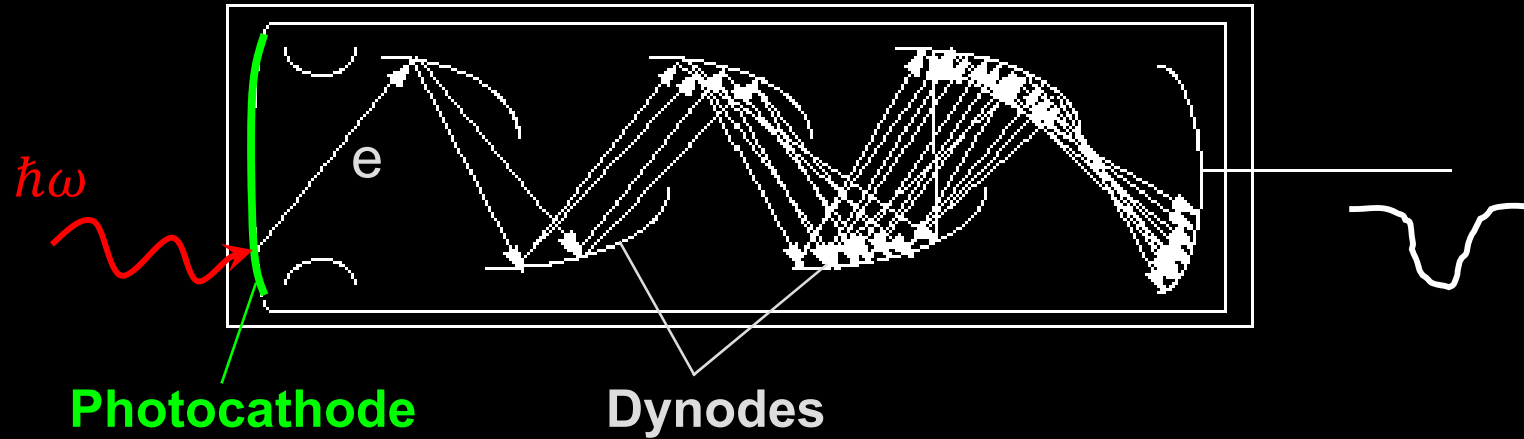
**Bob:**

# Polarization analyzer with single-photon detectors

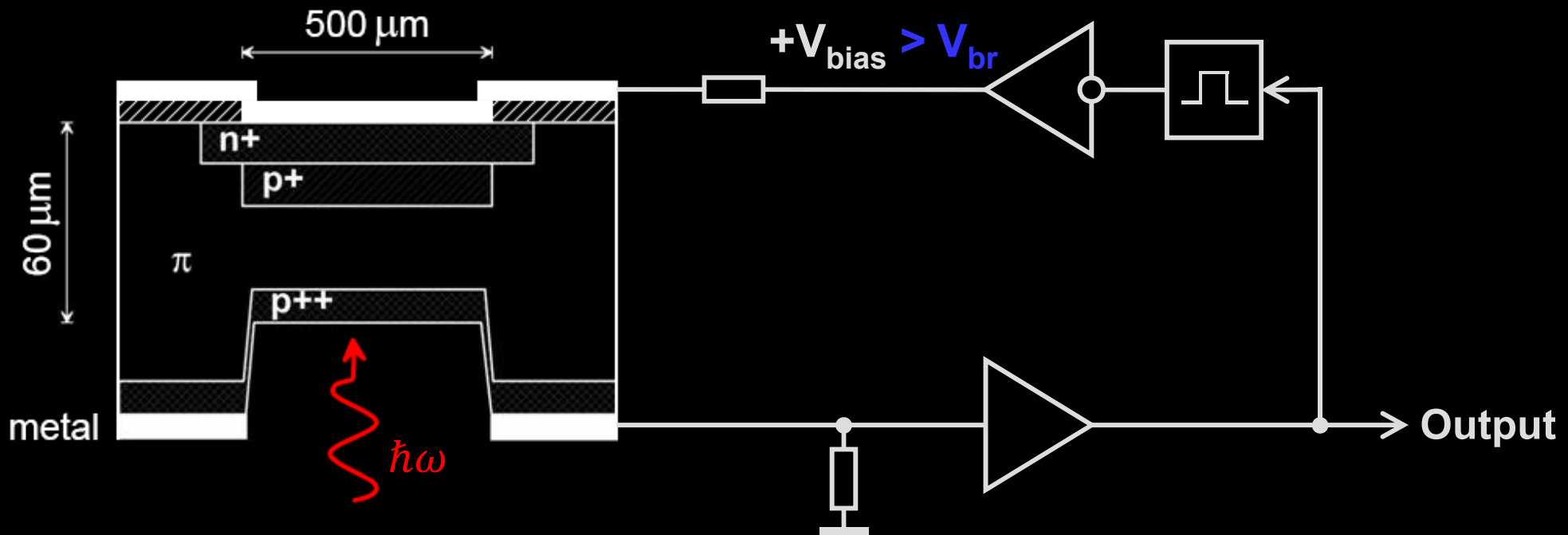


# Single-photon detectors

## Photomultiplier tube



## Avalanche photodiode





# Alice on La Palma



# Bob on Tenerife



# Quantum teleportation over 143 km

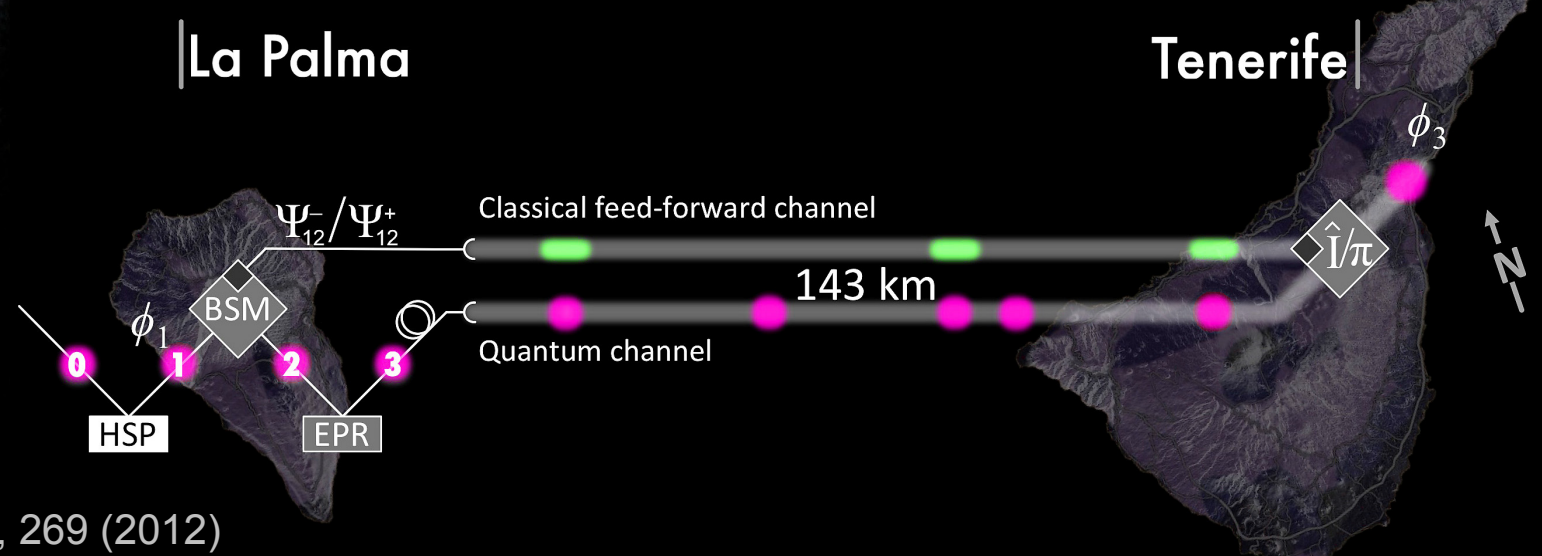
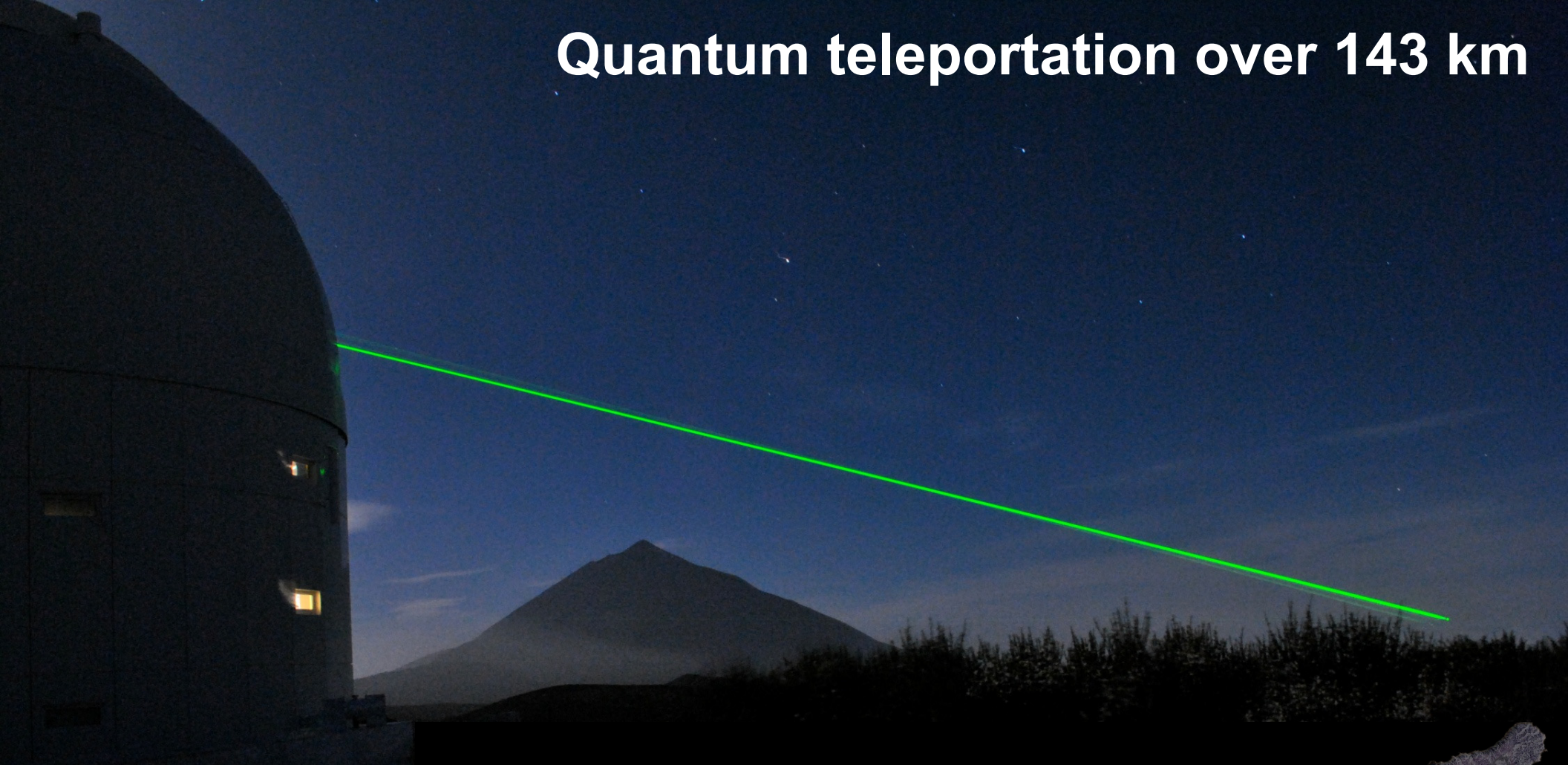
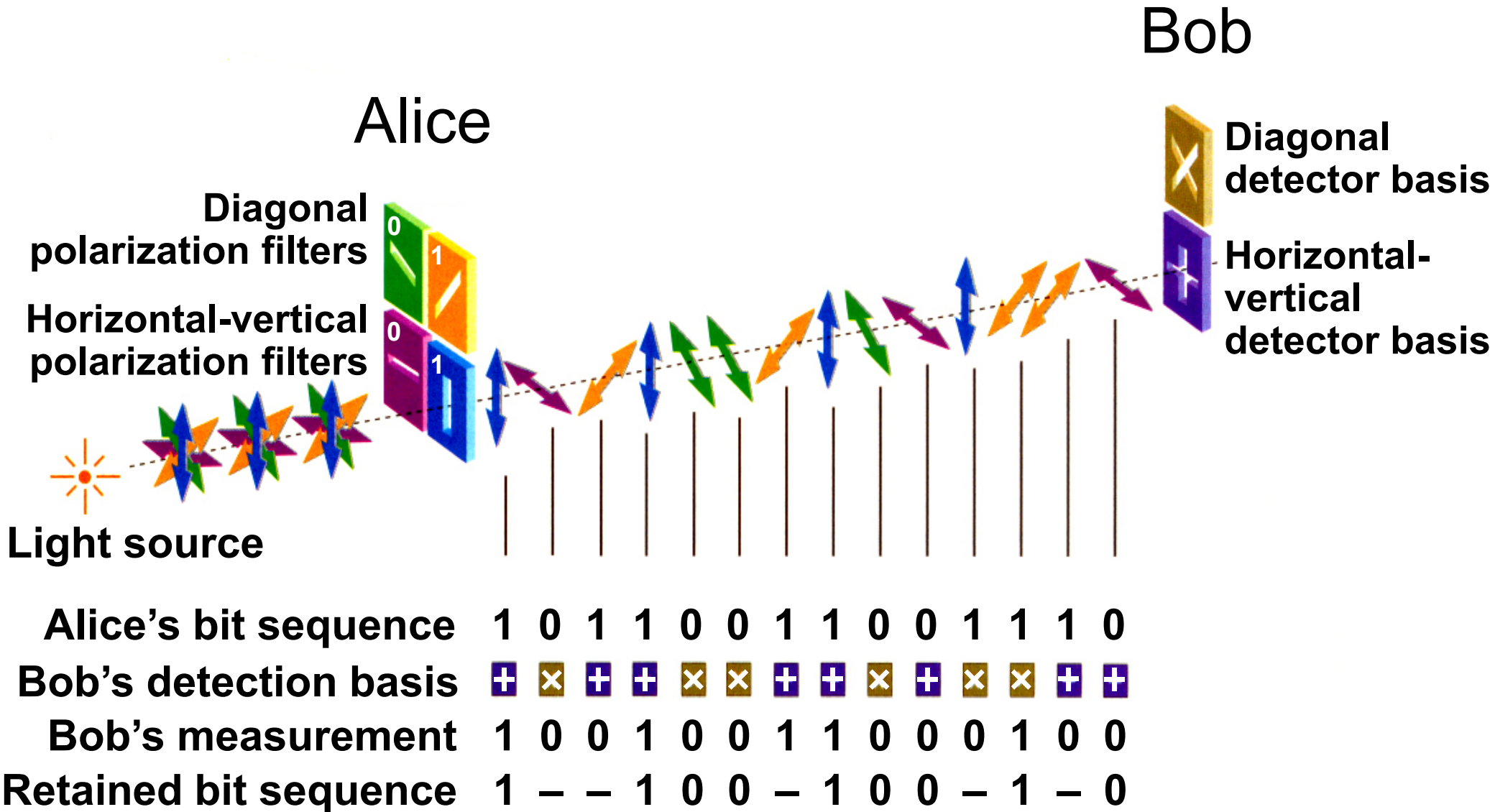


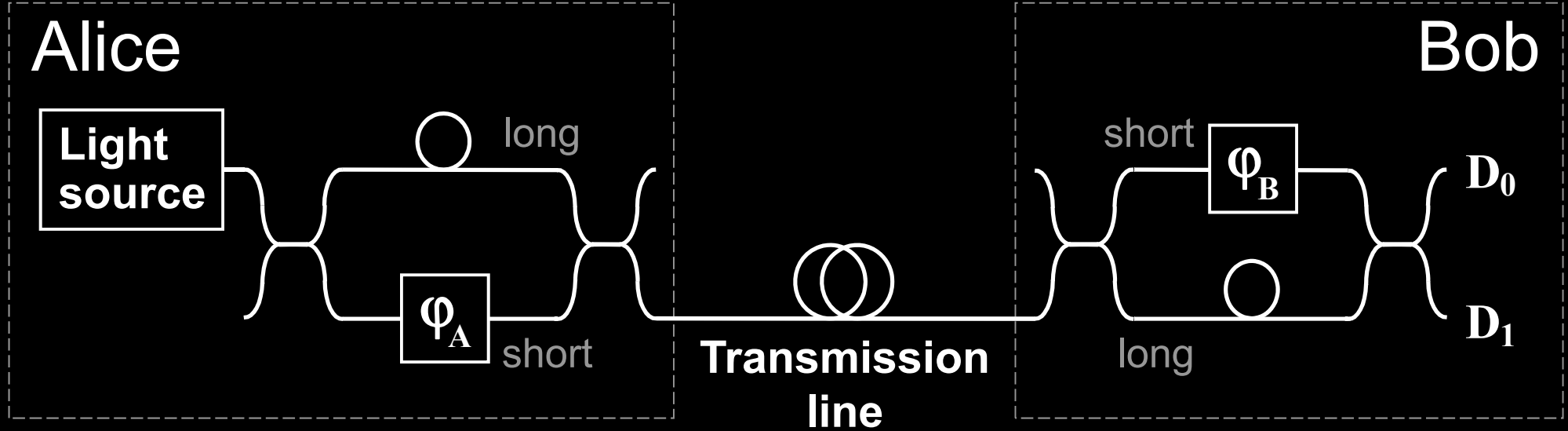
Photo by Tobias Schmitt-Manderbach



# Polarization encoding



# Phase encoding, interferometric QKD channel



$$\varphi_A = -45^\circ \text{ or } +45^\circ : 0$$

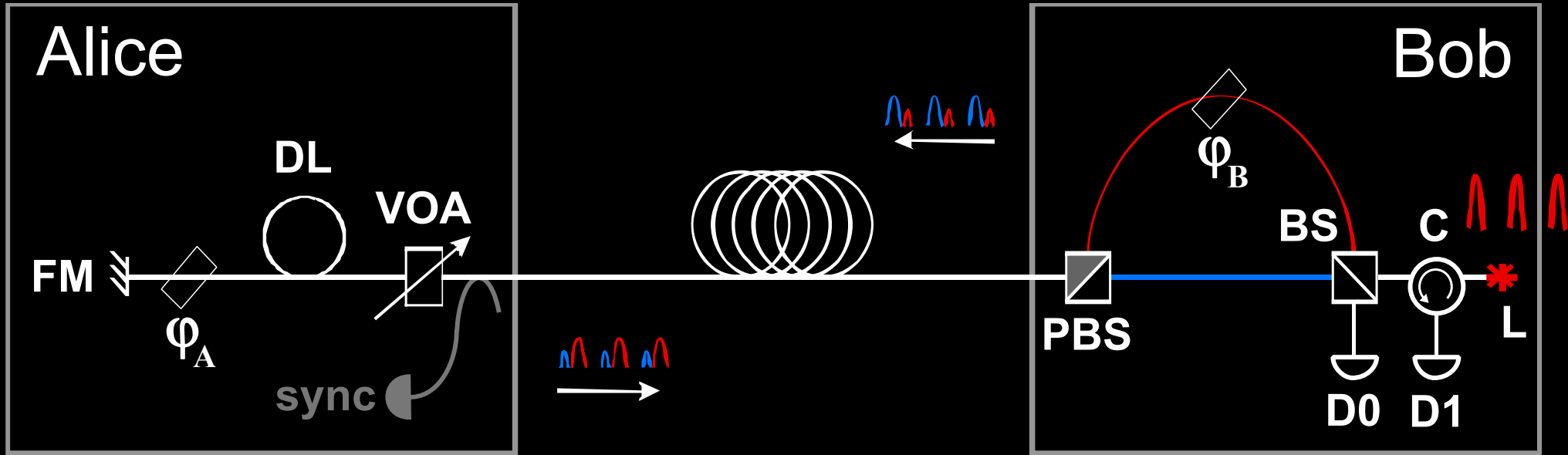
$$\varphi_A = +135^\circ \text{ or } -135^\circ : 1$$

Detector bases:

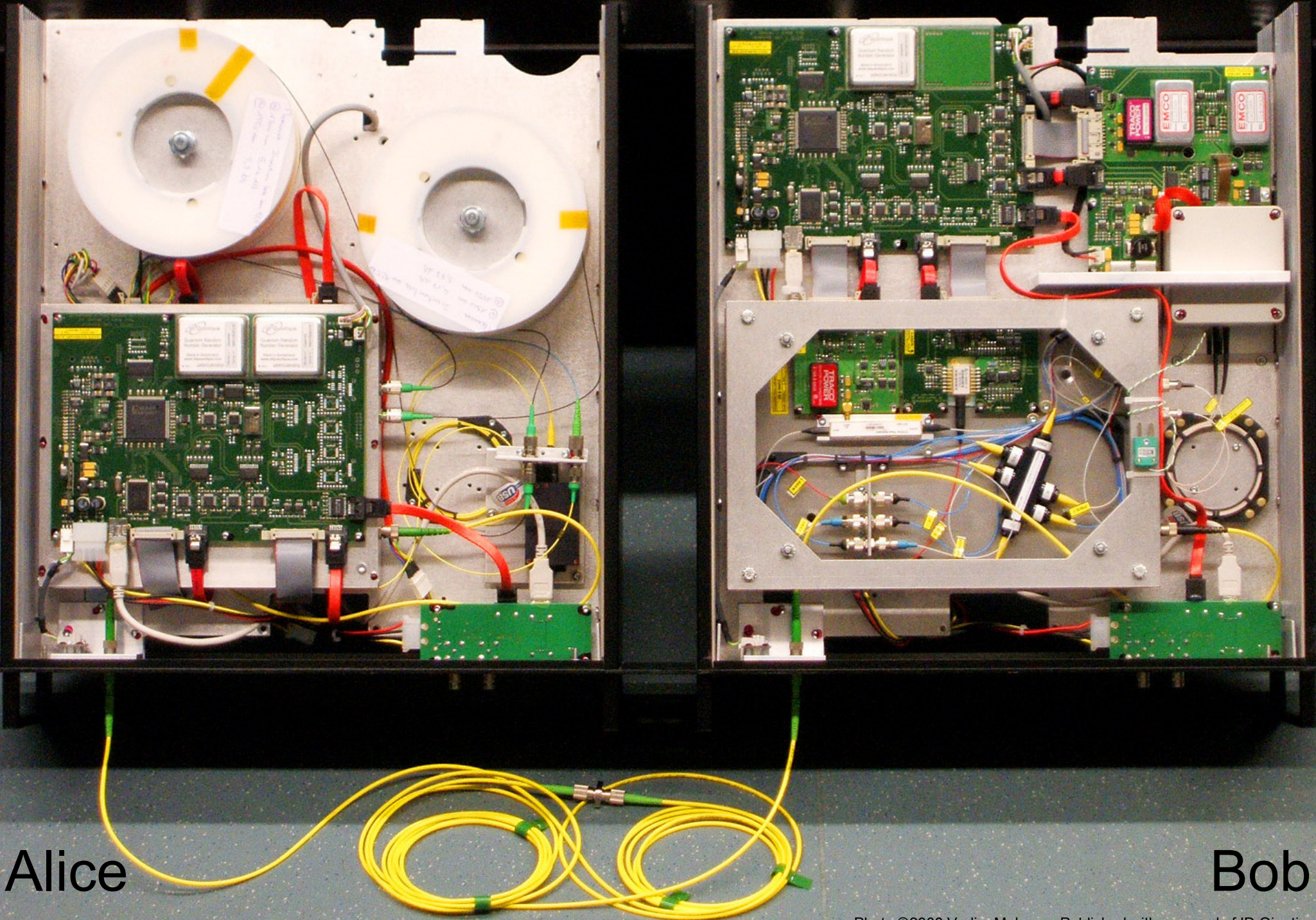
$$\varphi_B = -45^\circ : X$$

$$\varphi_B = +45^\circ : Z$$

# Plug-and-play scheme



# ID Quantique Clavis2 QKD system



Alice

Bob

# Commercial QKD

## Classical encryptors:

- L2, 2 Gbit/s
- L2, 10 Gbit/s
- L3 VPN, 100 Mbit/s

## WDMs

## Key manager

QKD to another node  
(4 km)

QKD to another node  
(14 km)

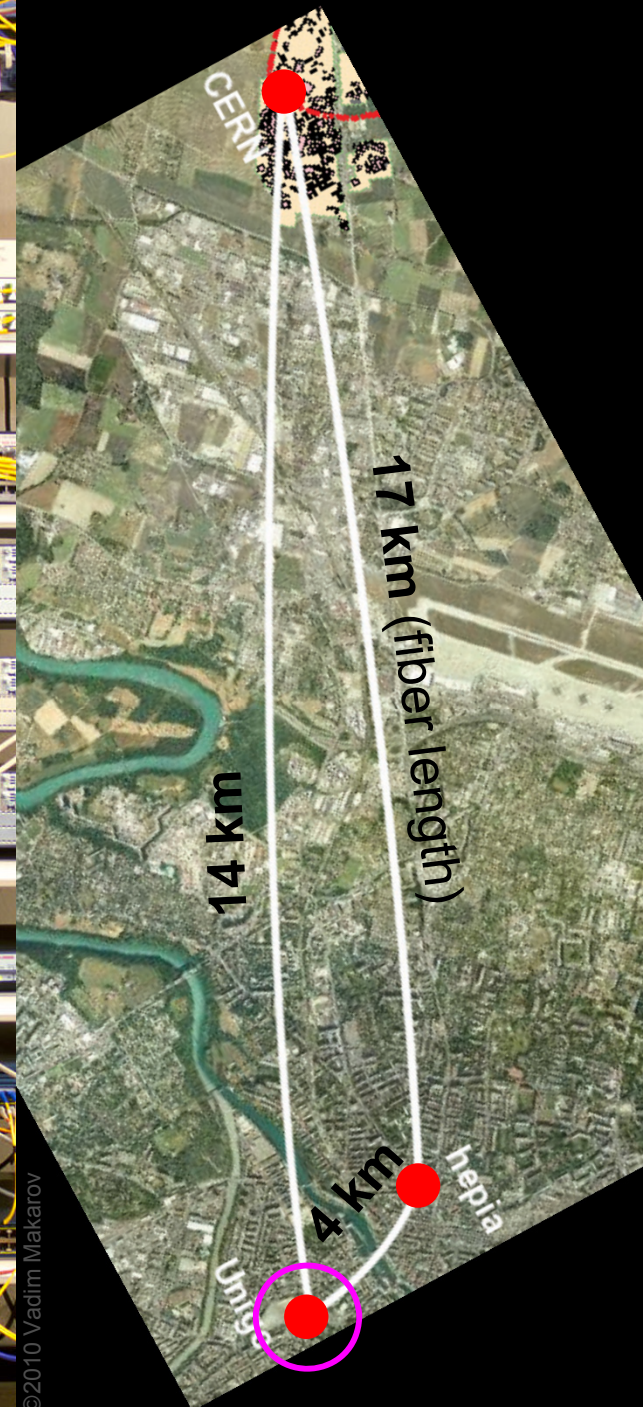
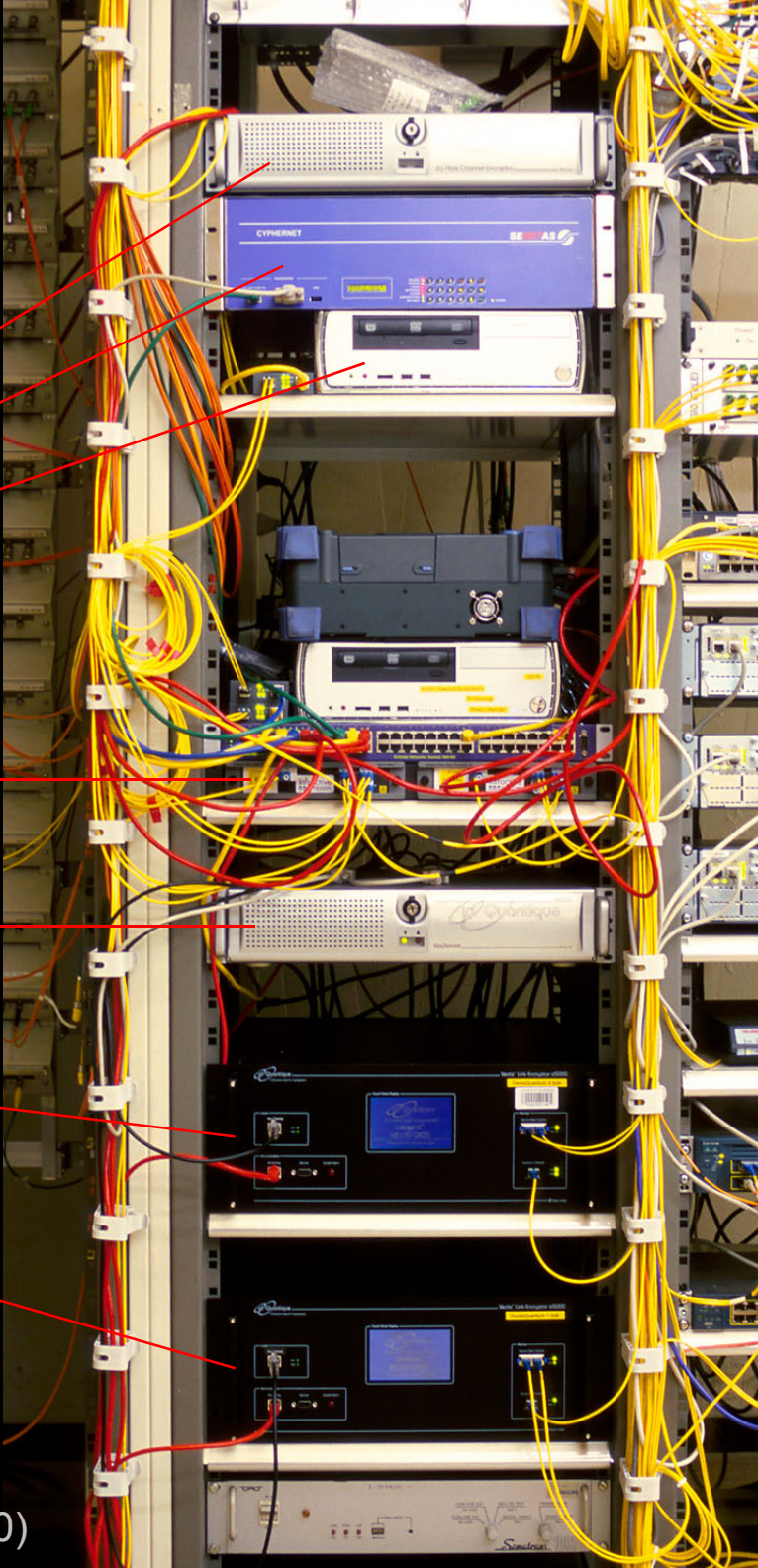
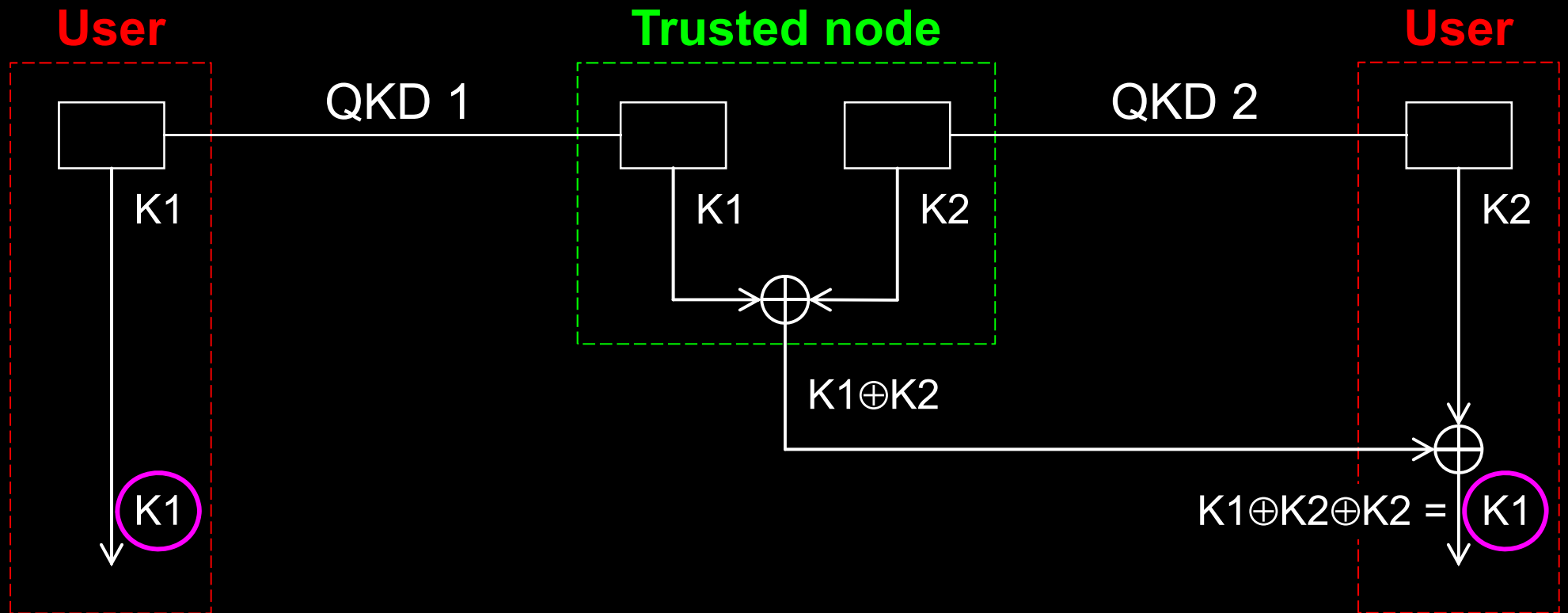
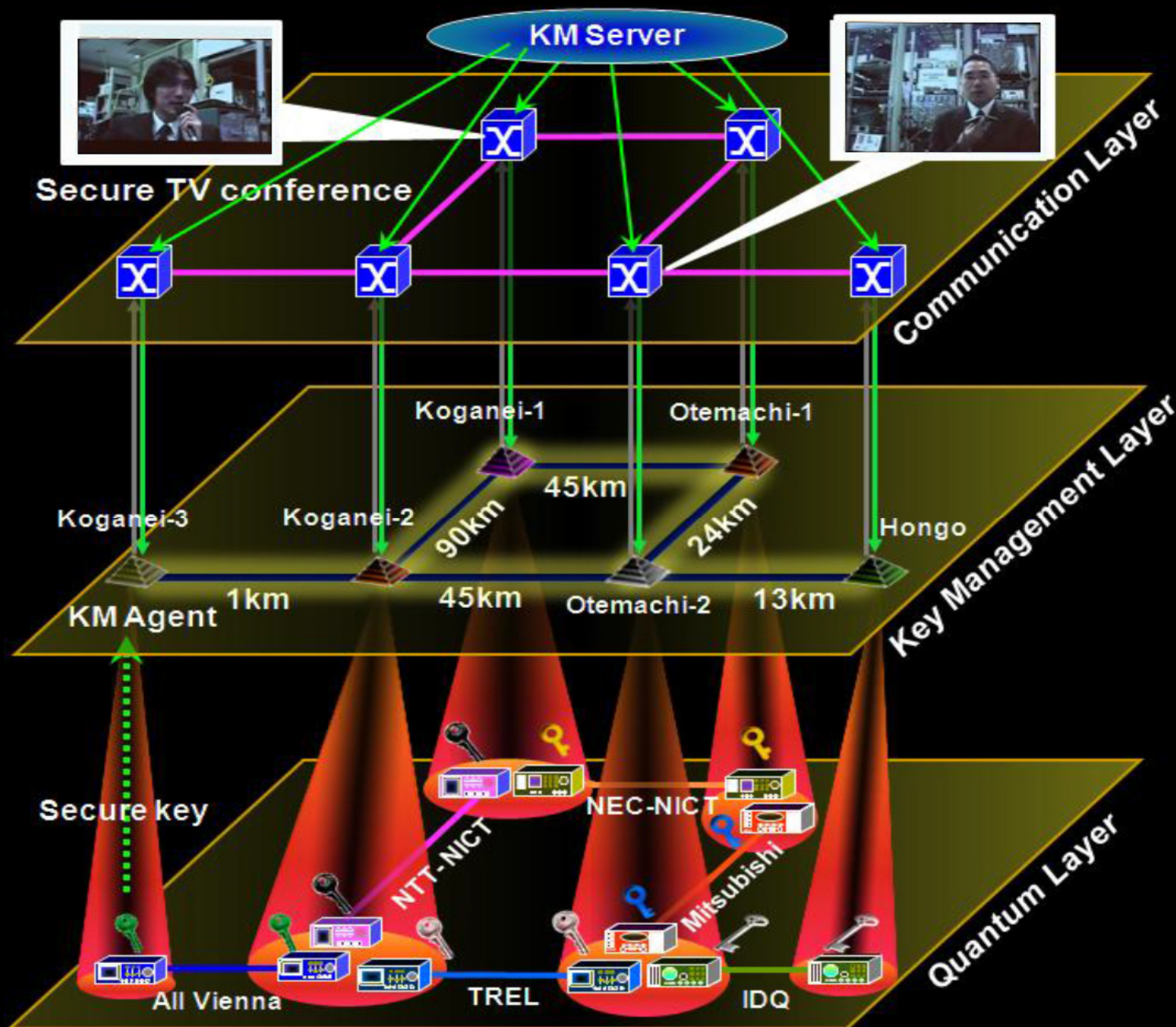


Photo ©2010 Vadim Makarov

# Trusted-node repeater



# Trusted-node network







# Prototype single-photon detector (4-channel)



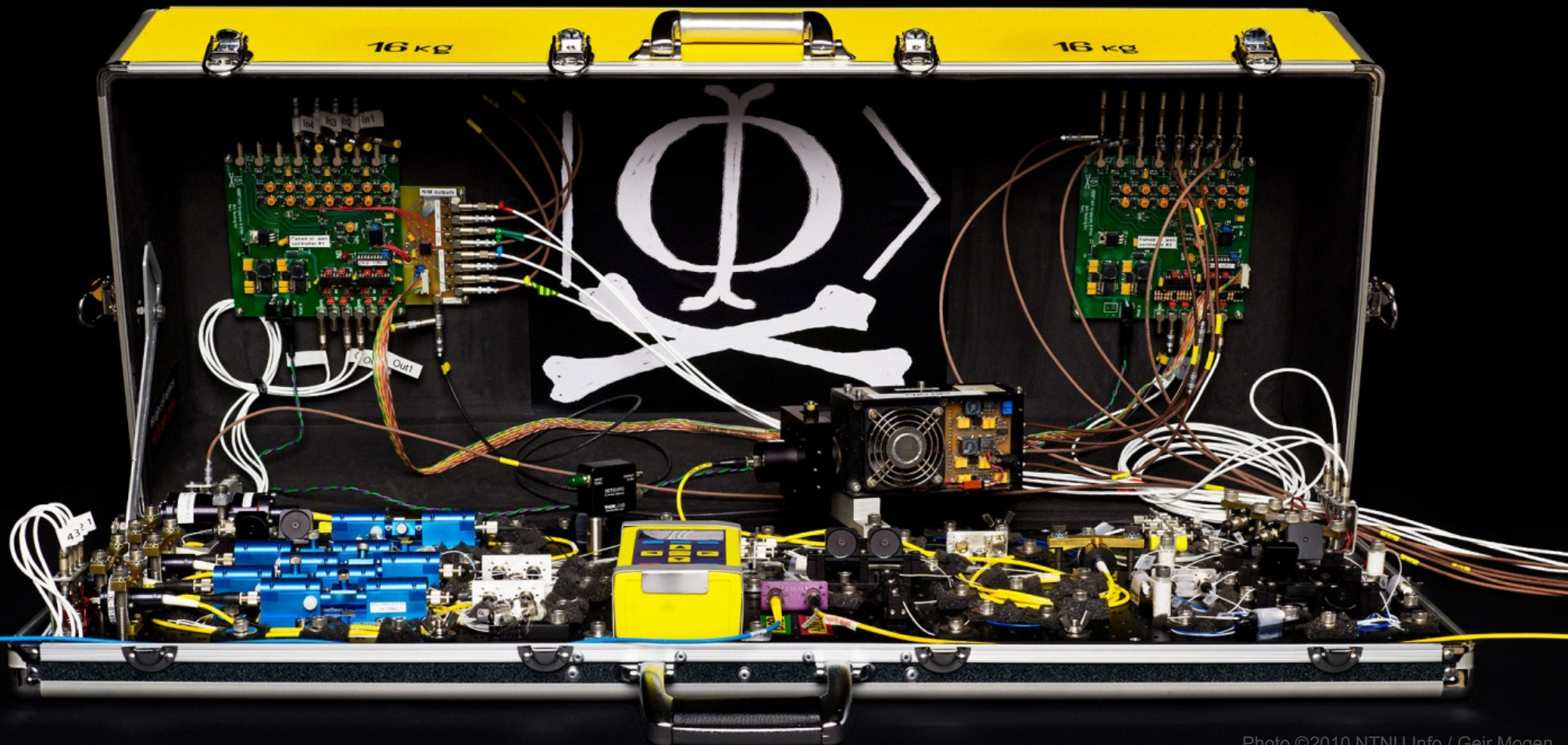
End of lecture 1

# Quantum hacking

*Vadim Makarov*

**IQC** Institute for  
Quantum  
Computing

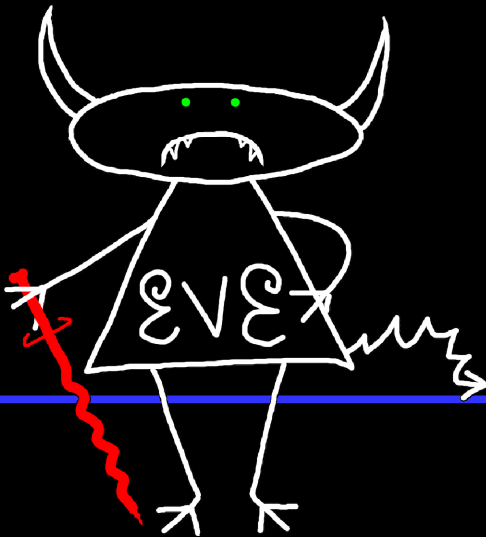
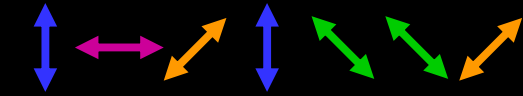
[www.vad1.com/lab](http://www.vad1.com/lab)



# Security model of QKD

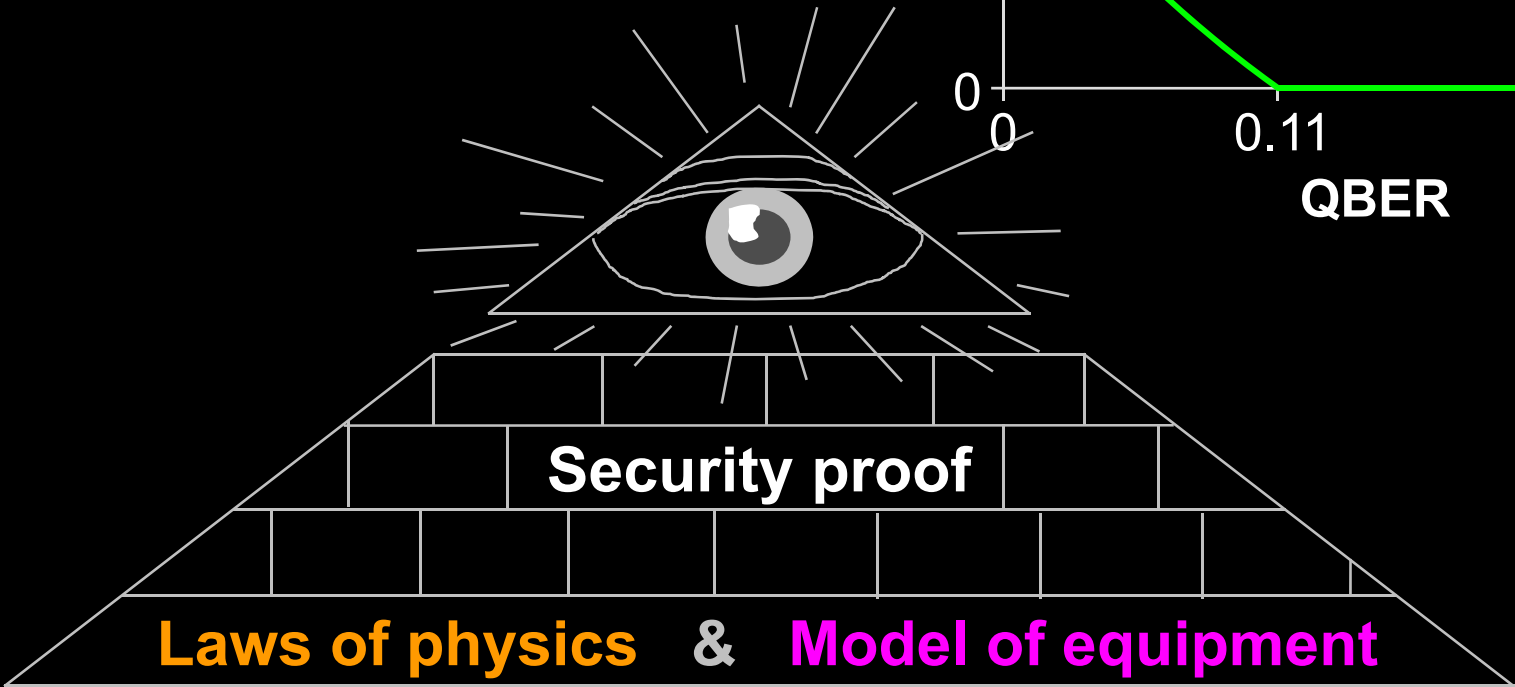
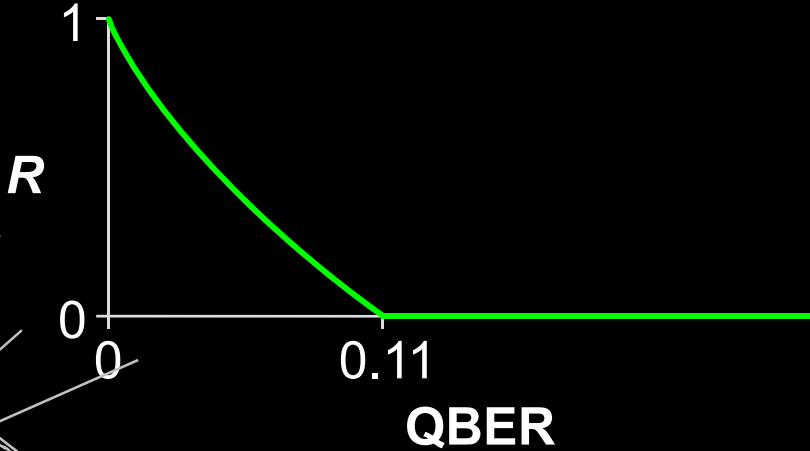


Alice

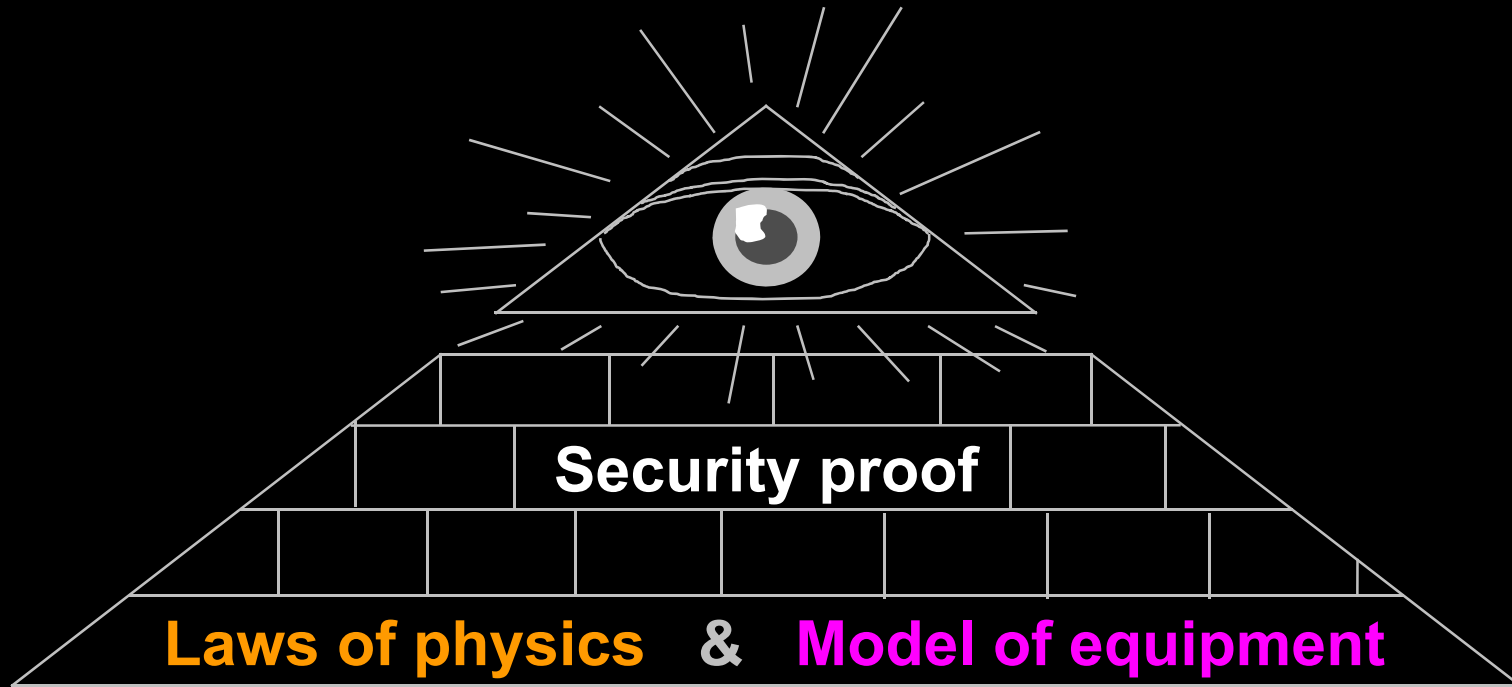


Bob

Secret key rate  $R = f(\text{QBER})$



# Security model of QKD



**Hack** **Integrate imperfection into security model**

A blue arrow points from "Hack" to "Integrate imperfection into security model".

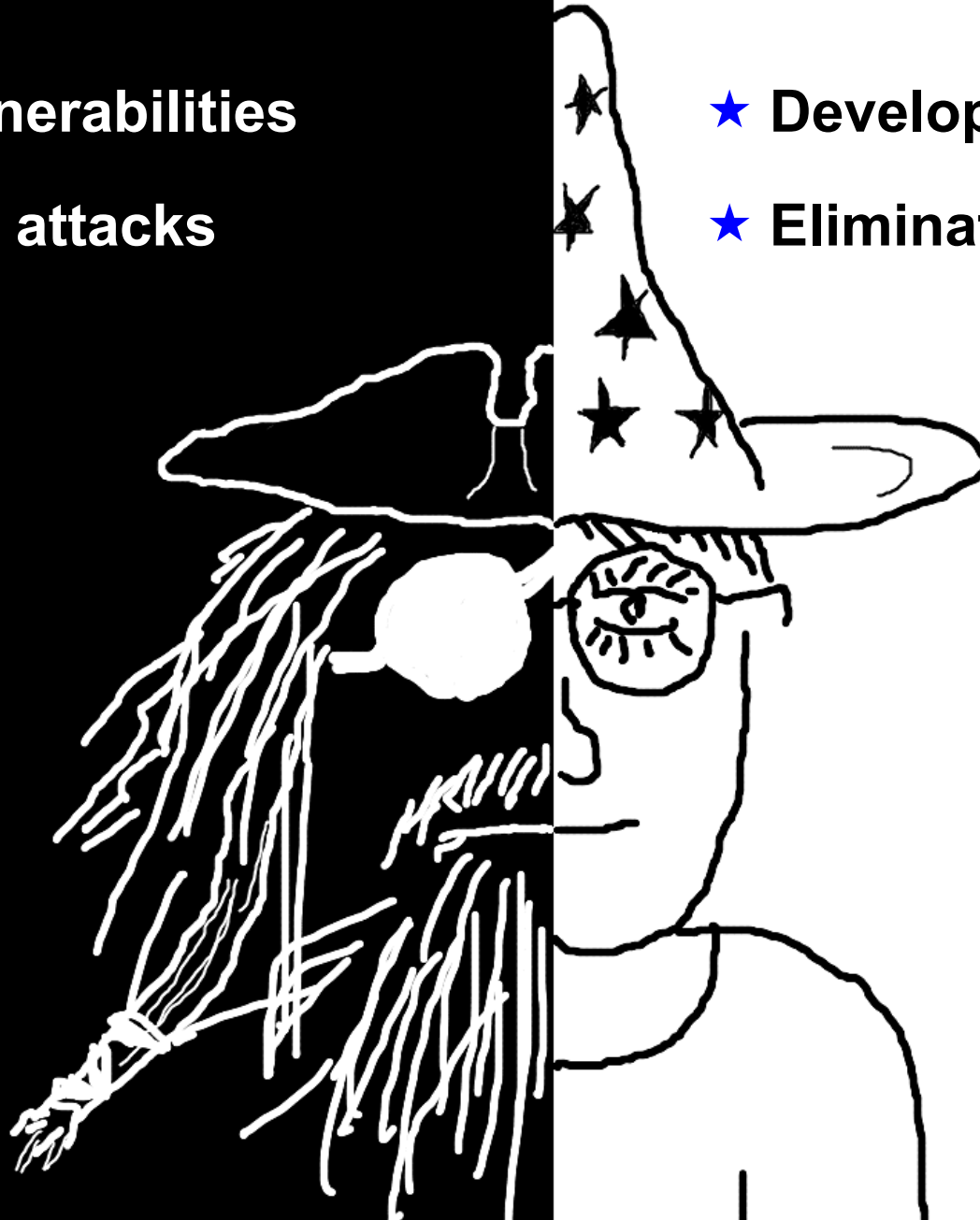
# Quantum hacking

🔪 Discover vulnerabilities

🔪 Demonstrate attacks

★ Develop countermeasures

★ Eliminate imperfections









# Commercial QKD

ID Quantique *Cerberis* system

## Classical encryptors:

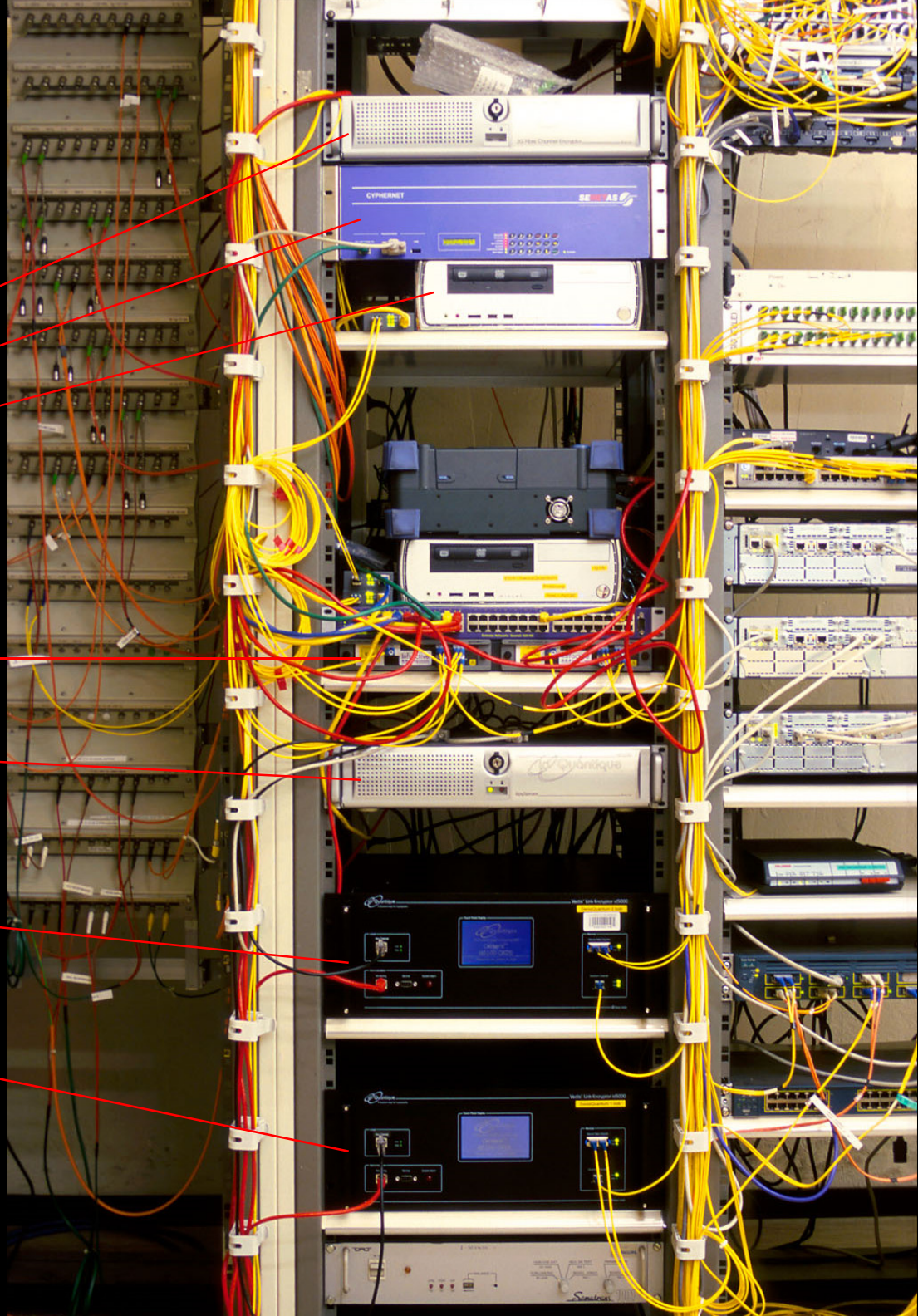
- L2, 2 Gbit/s
- L2, 10 Gbit/s
- L3 VPN, 100 Mbit/s

## WDMs

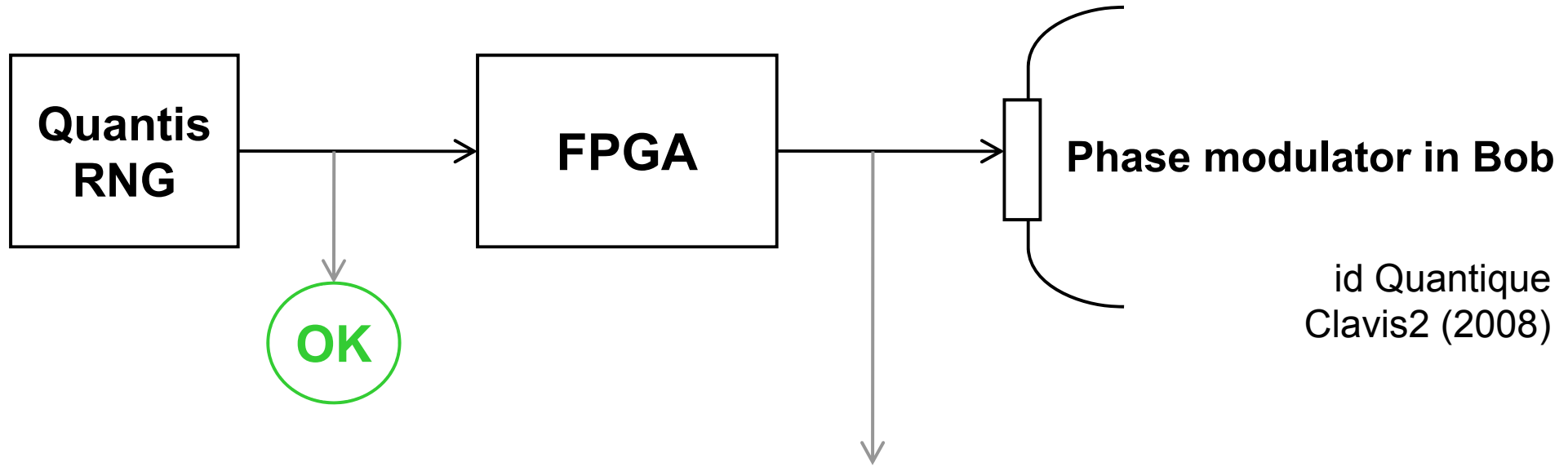
## Key manager

QKD to another node (4 km)

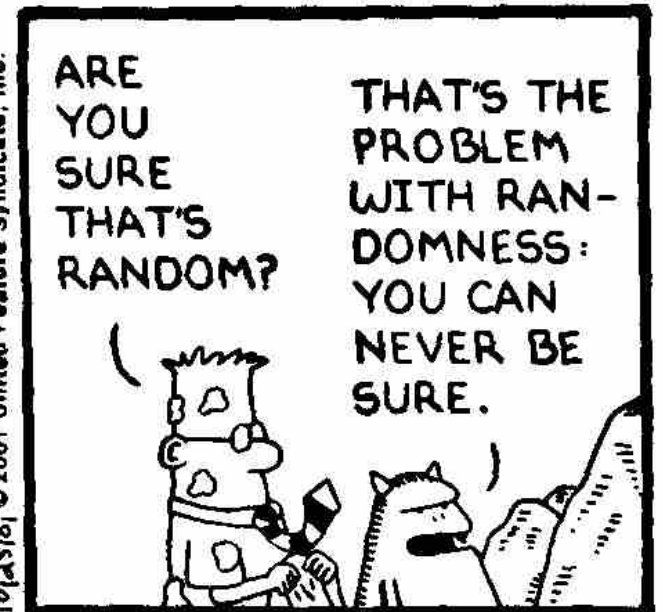
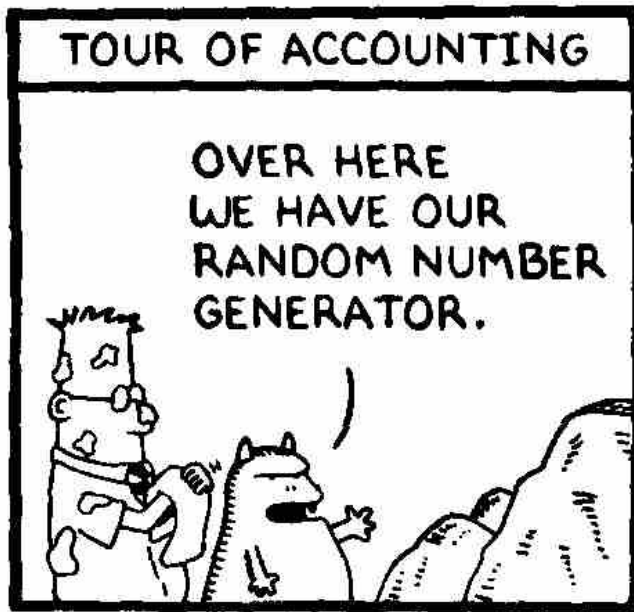
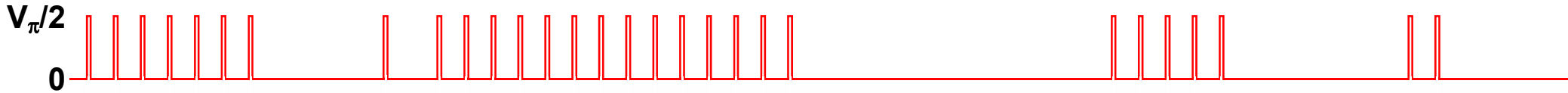
QKD to another node (14 km)



# True randomness?

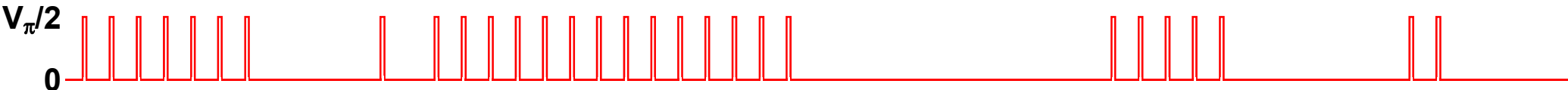
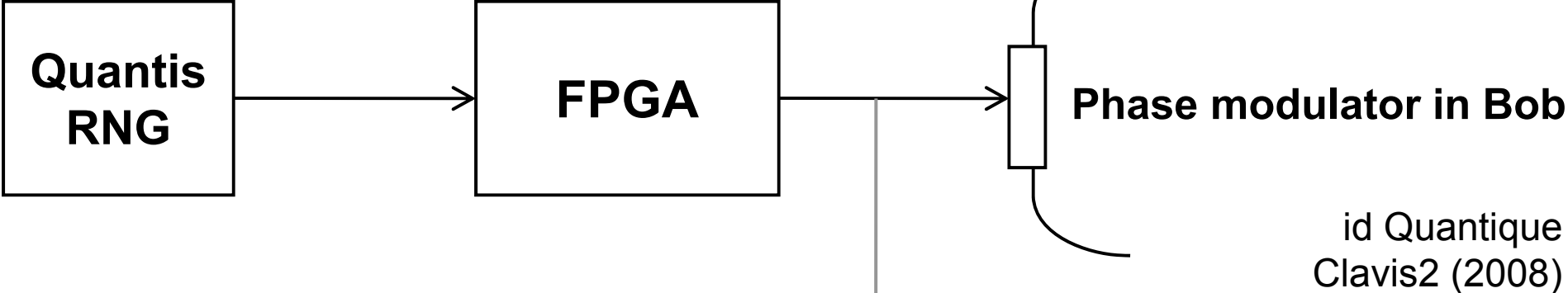


id Quantique  
Clavis2 (2008)

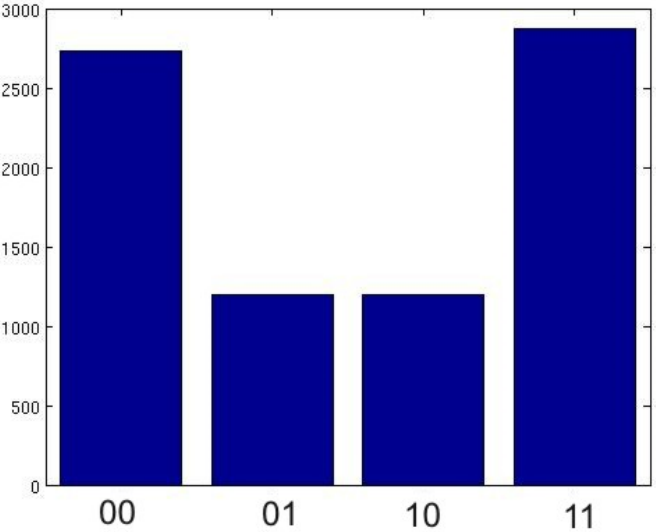


10/25/01 © 2001 United Feature Syndicate, Inc.

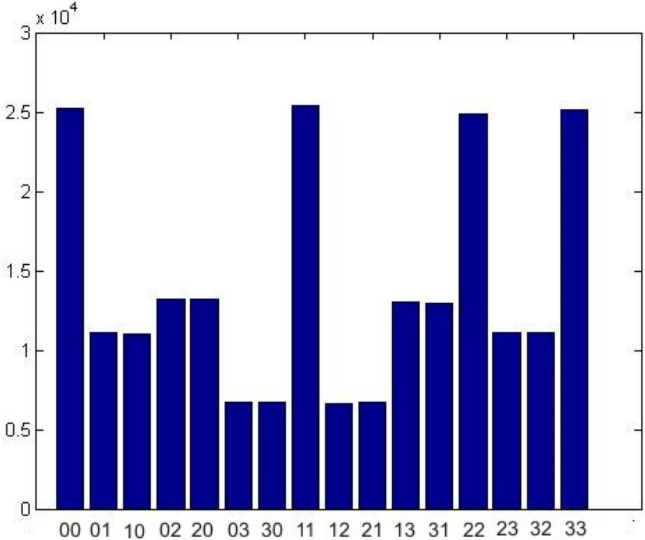
# True randomness?



**Bob:**



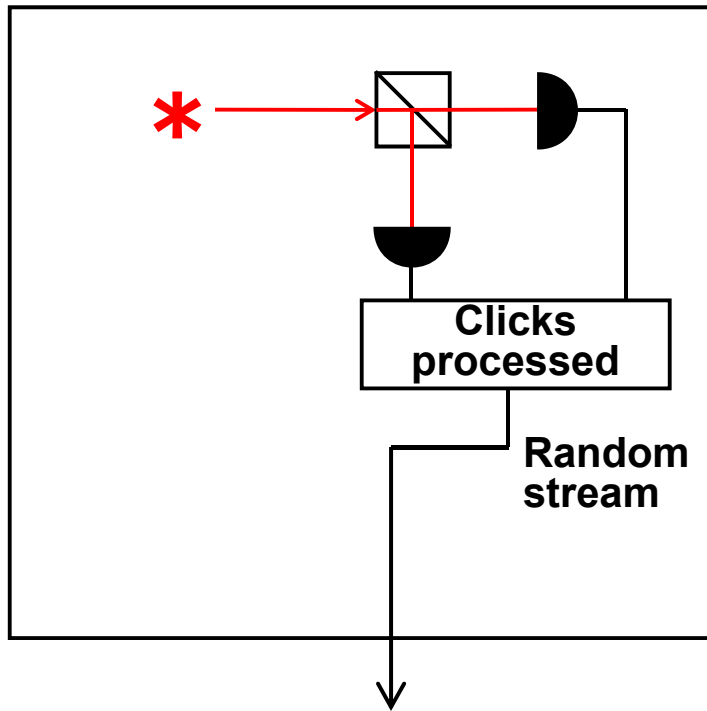
**Alice:**



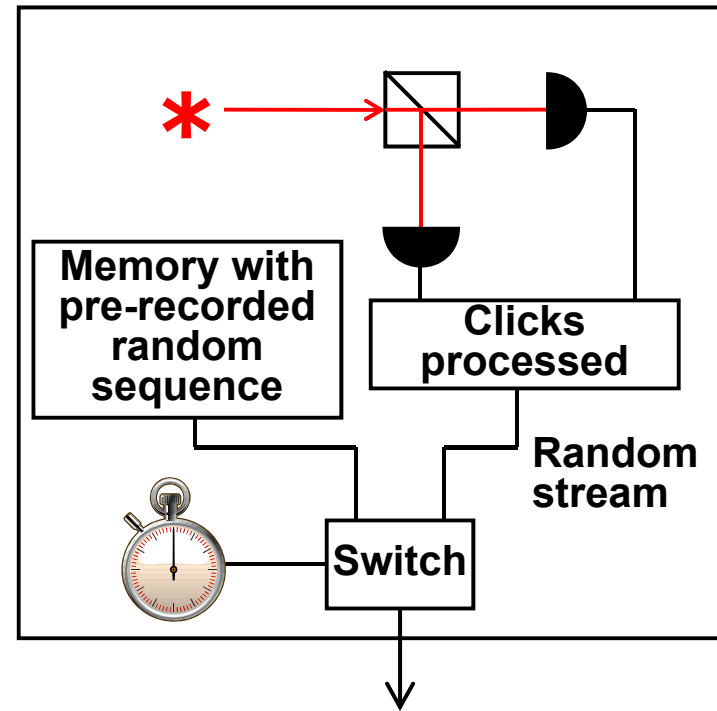
Issue reported patched, as of January 2010

# Do we trust the manufacturer?

Quantis RNG



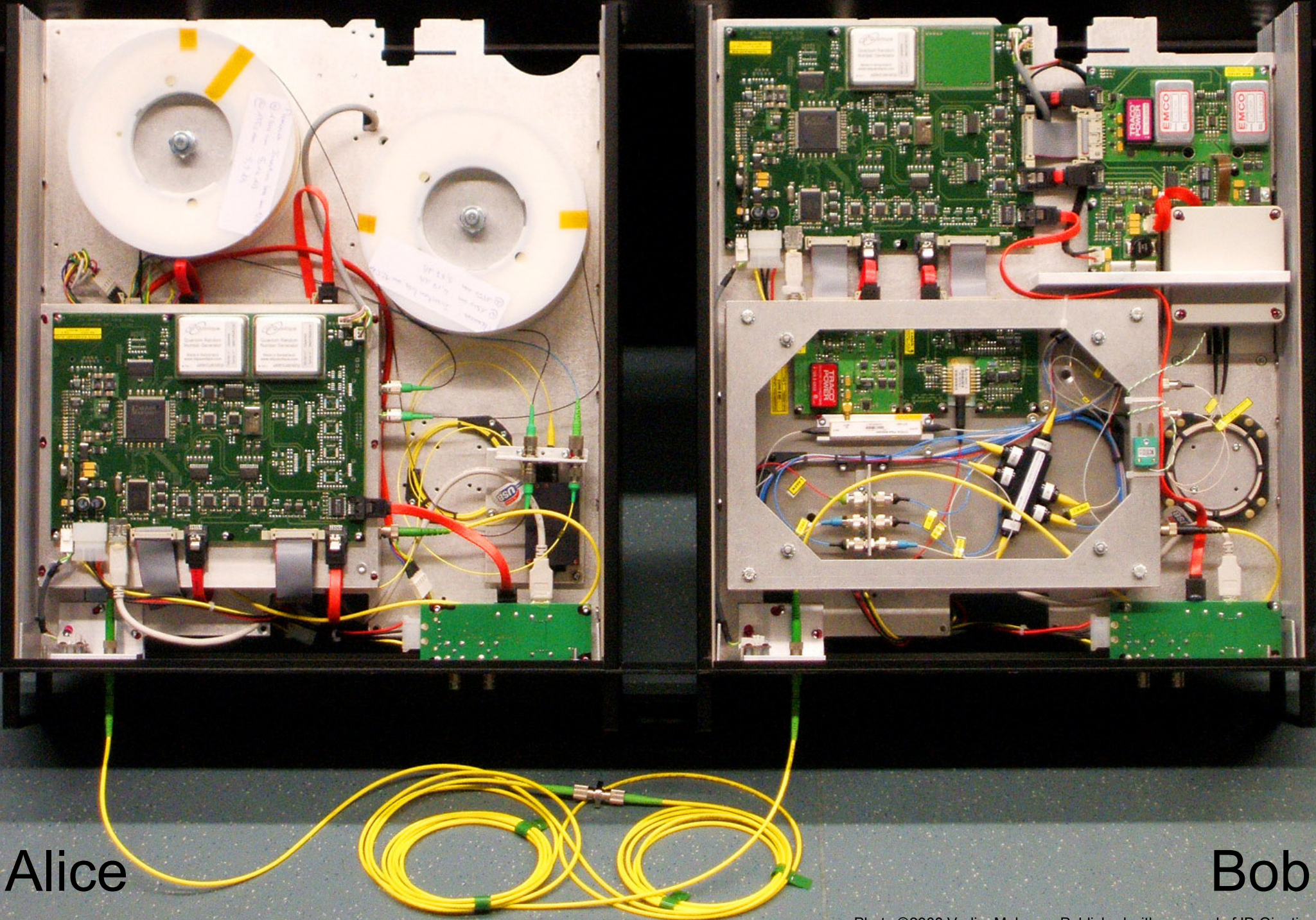
Quantis RNG, **Trojan-horsed** :)



Many components in QKD system can be Trojan-horsed:

- access to secret information
- electrical power
- way to communicate outside or compromise security

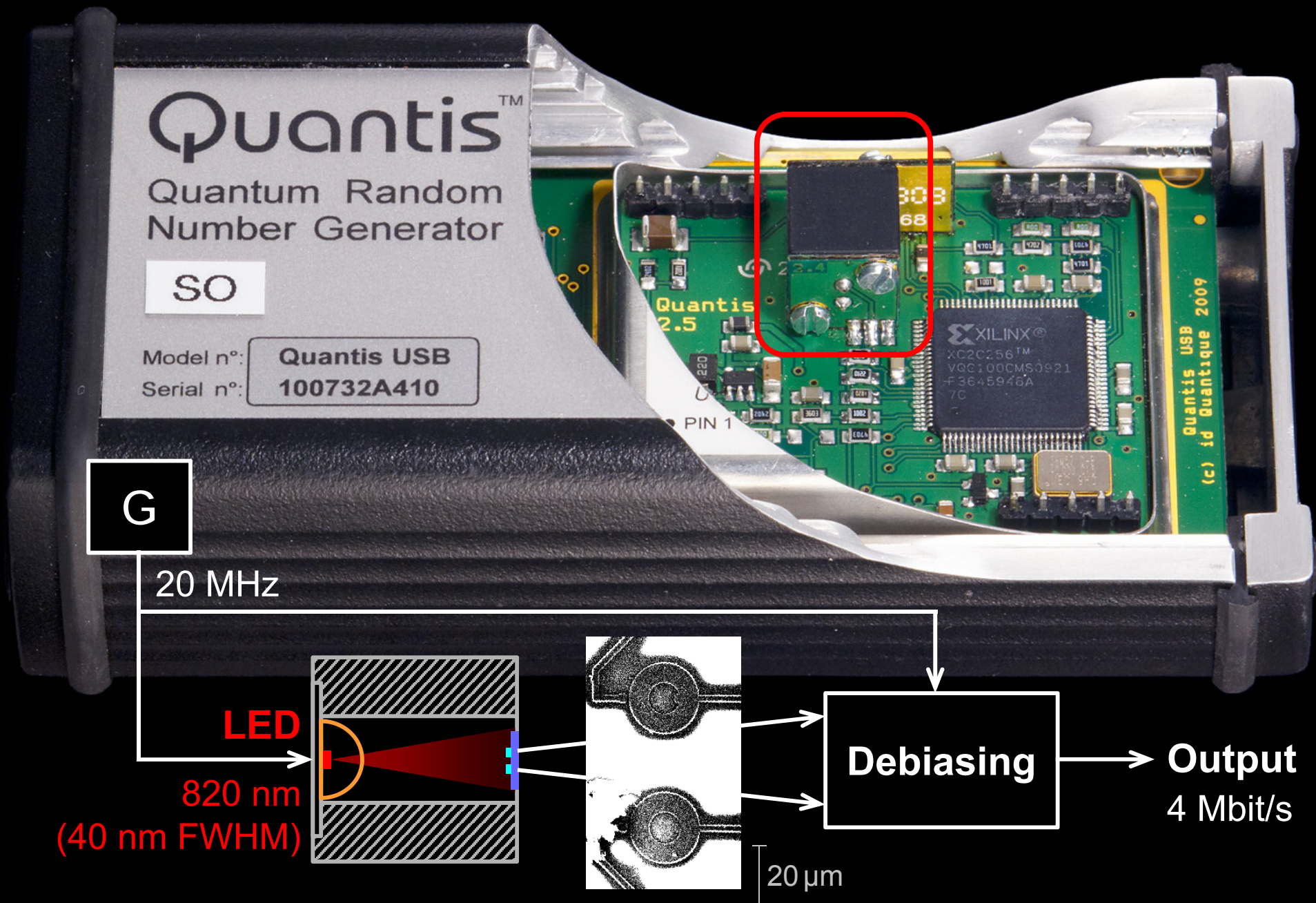
# ID Quantique Clavis2 QKD system



Alice

Bob

# Quantis RNG: what's inside?



G. Ribordy, O. Guinnard, US patent appl. US 2007/0127718 A1 (filed in 2006)

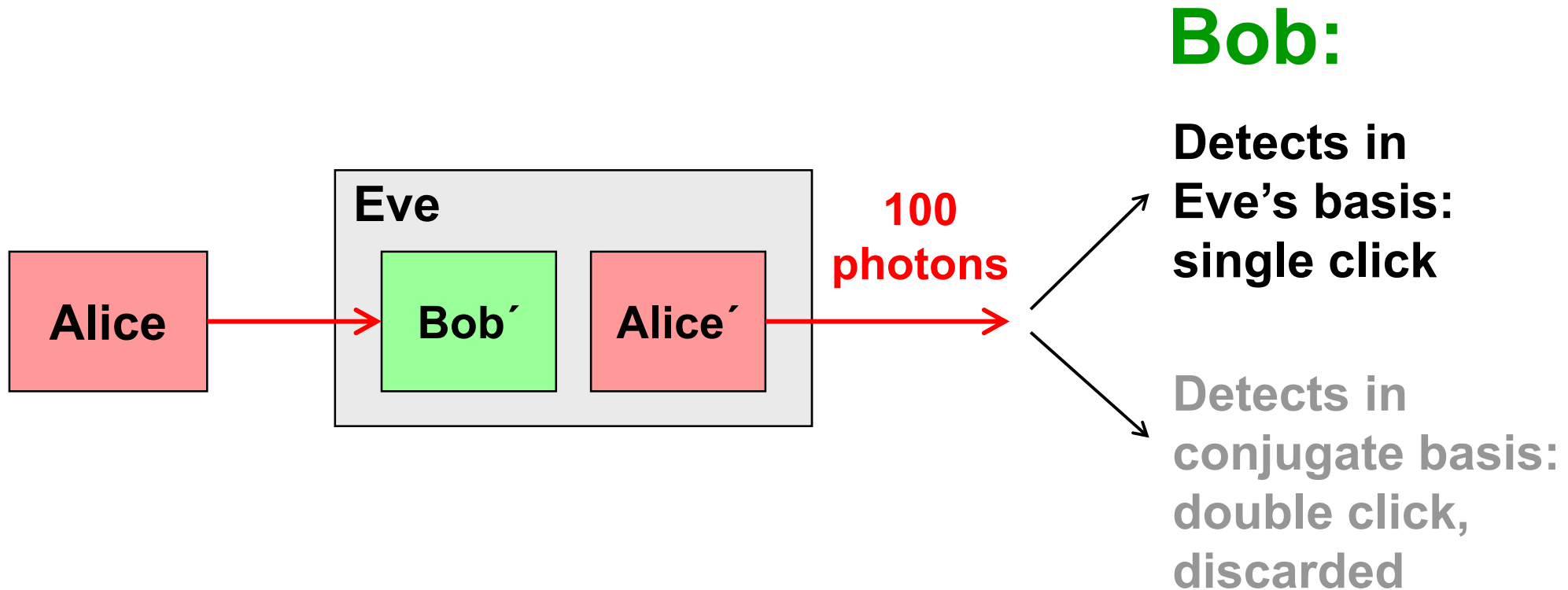
I. Radchenko *et al.*, unpublished

# Double clicks

– occur naturally because of detector dark counts, multi-photon pulses...

Discard them?

Intercept-resend attack... **with a twist:**

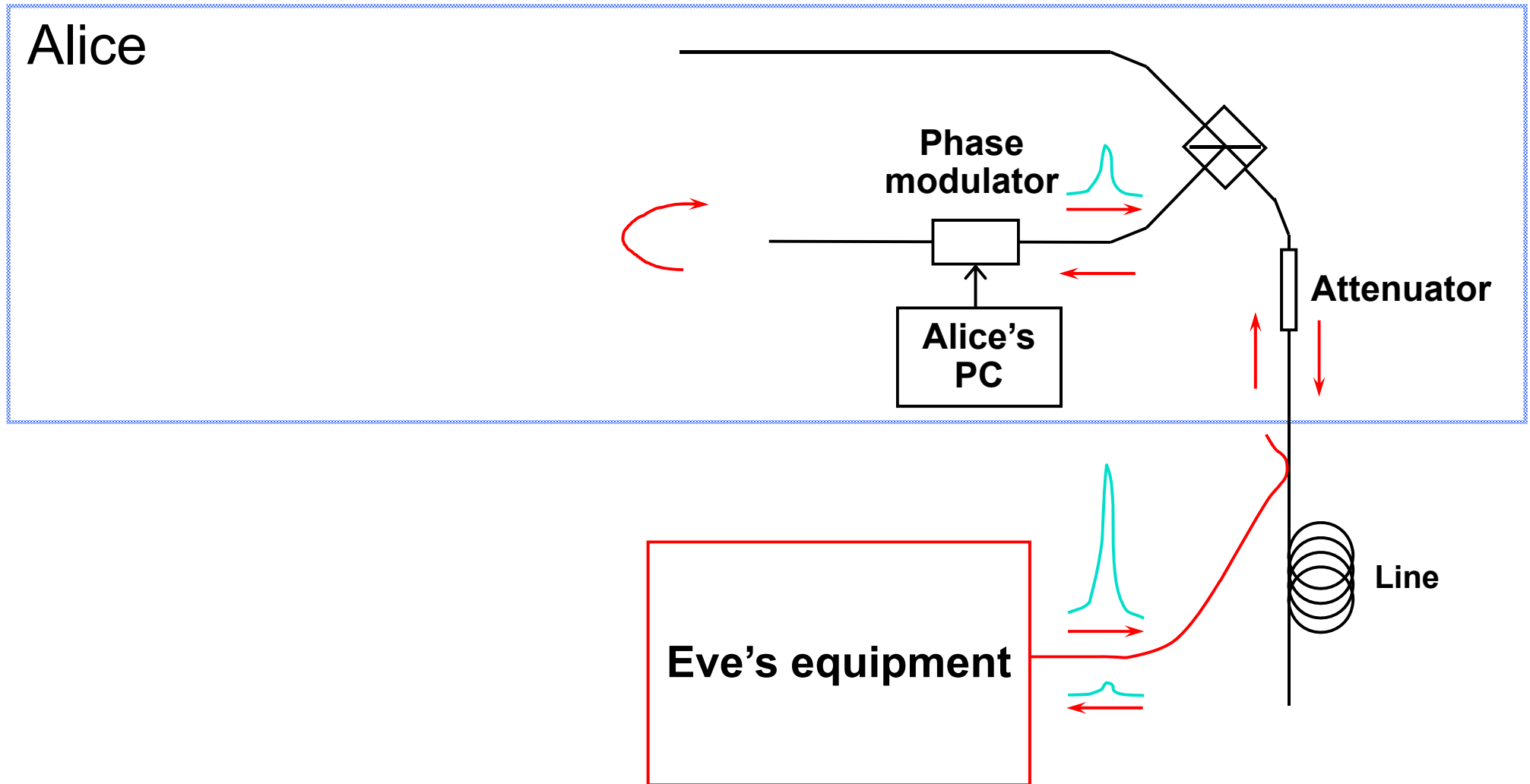


**Proper treatment for double clicks: assign a random bit value.**

N. Lütkenhaus, Phys. Rev. A **59**, 3301 (1999)

T. Tsurumaru & K. Tamaki, Phys. Rev. A **78**, 032302 (2008)

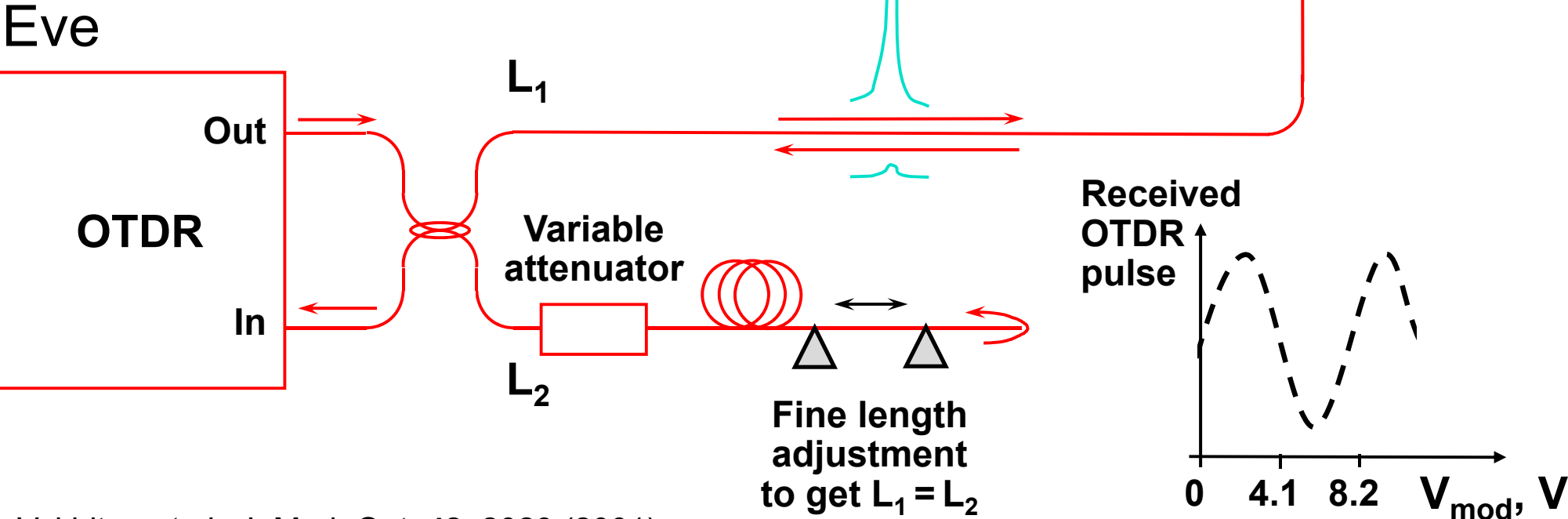
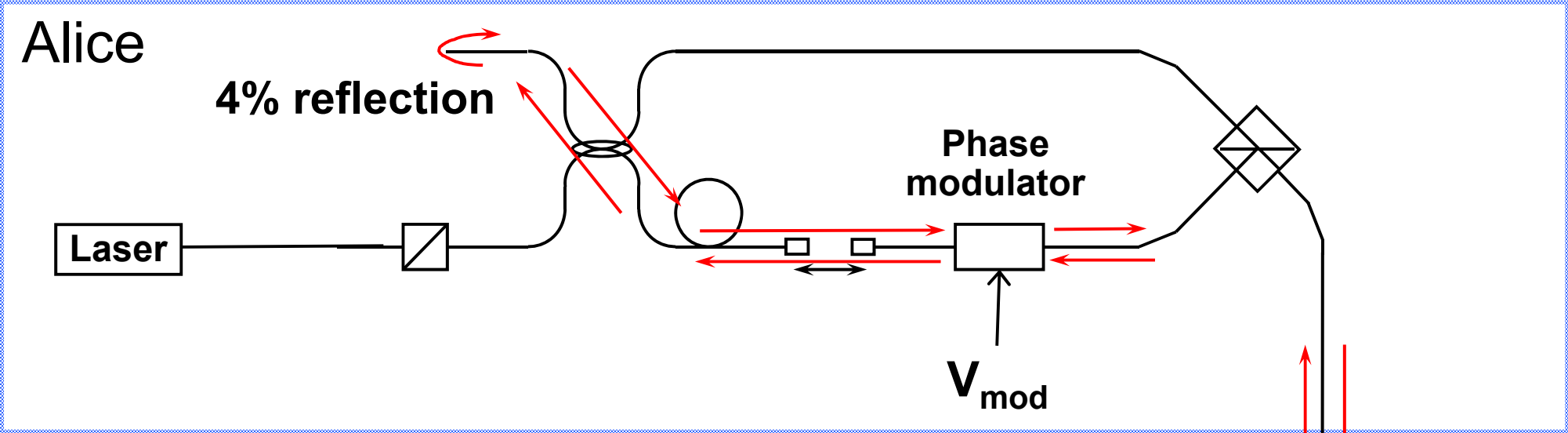
# Trojan-horse attack



- interrogating Alice's phase modulator with powerful external pulses (can give Eve bit values directly)



# Trojan-horse attack experiment



A. Vakhitov *et al.*, J. Mod. Opt. **48**, 2023 (2001)

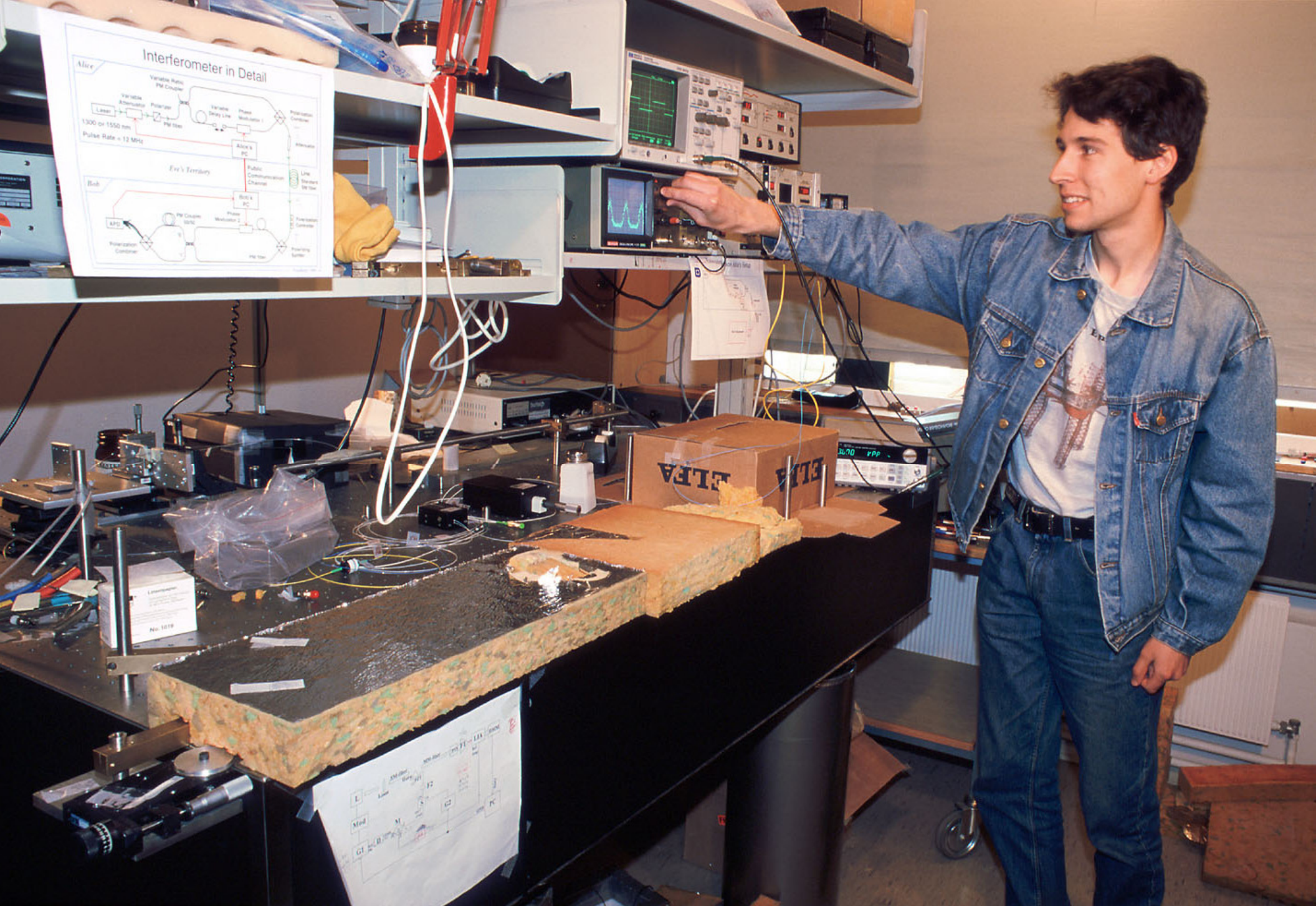
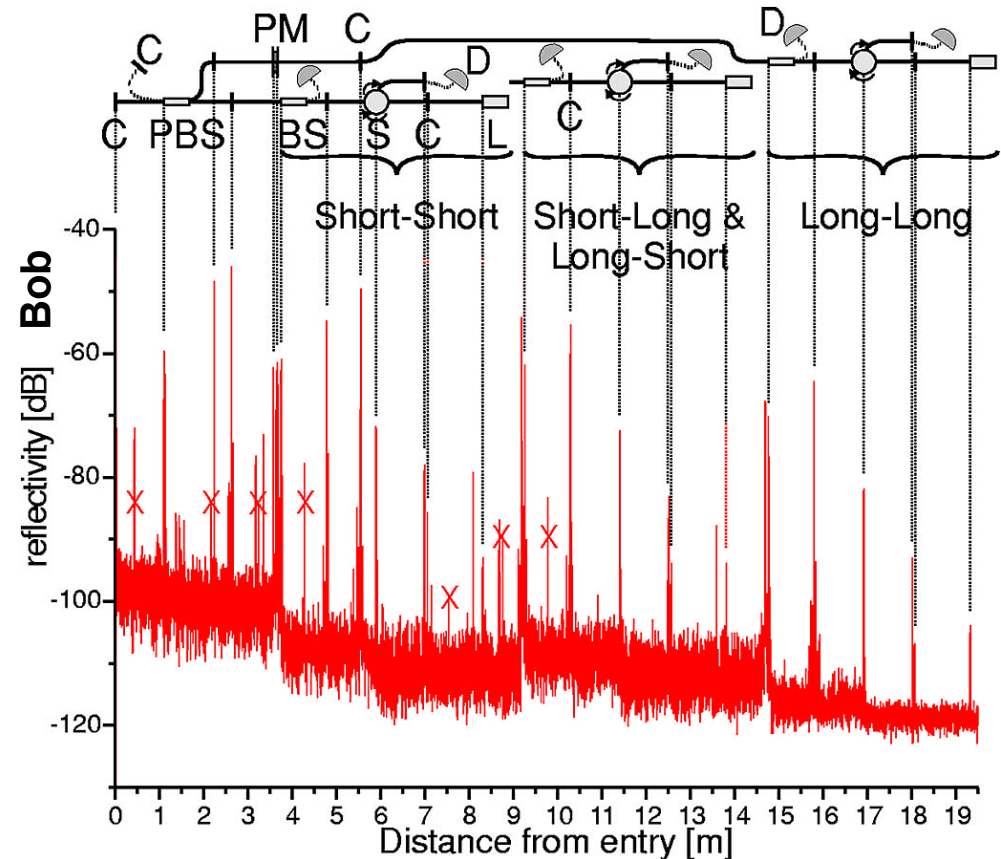
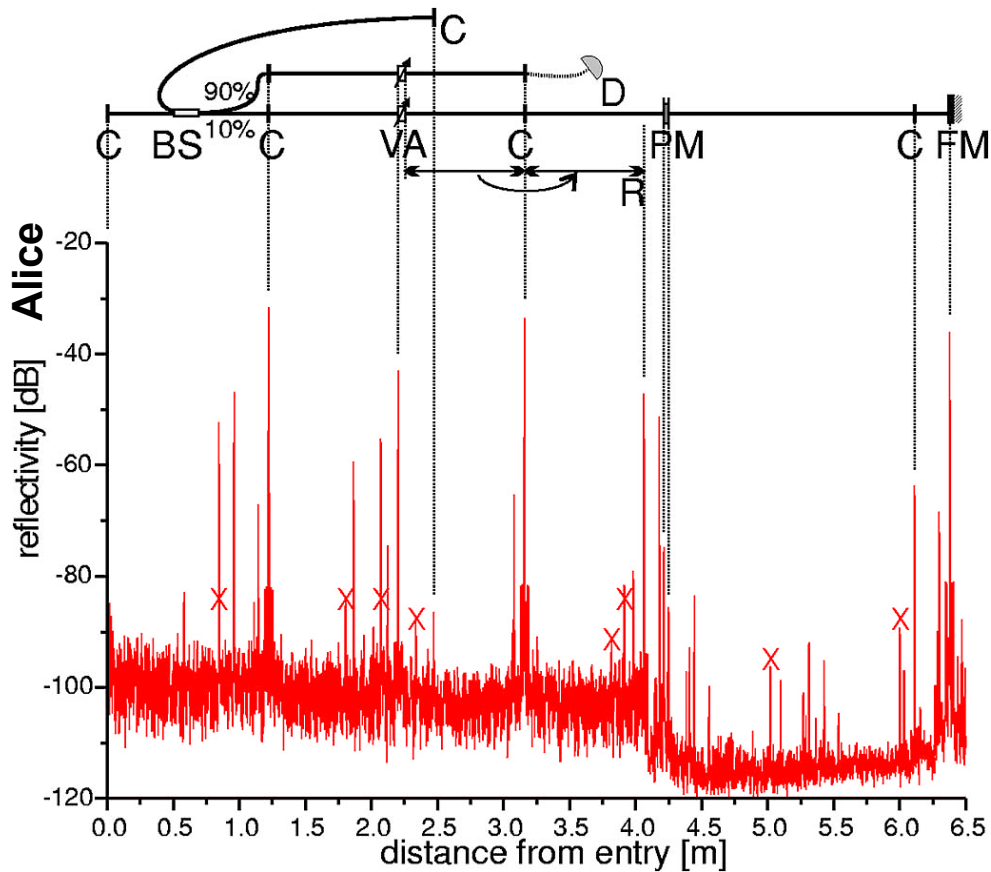


Photo ©2000 Vadim Makarov

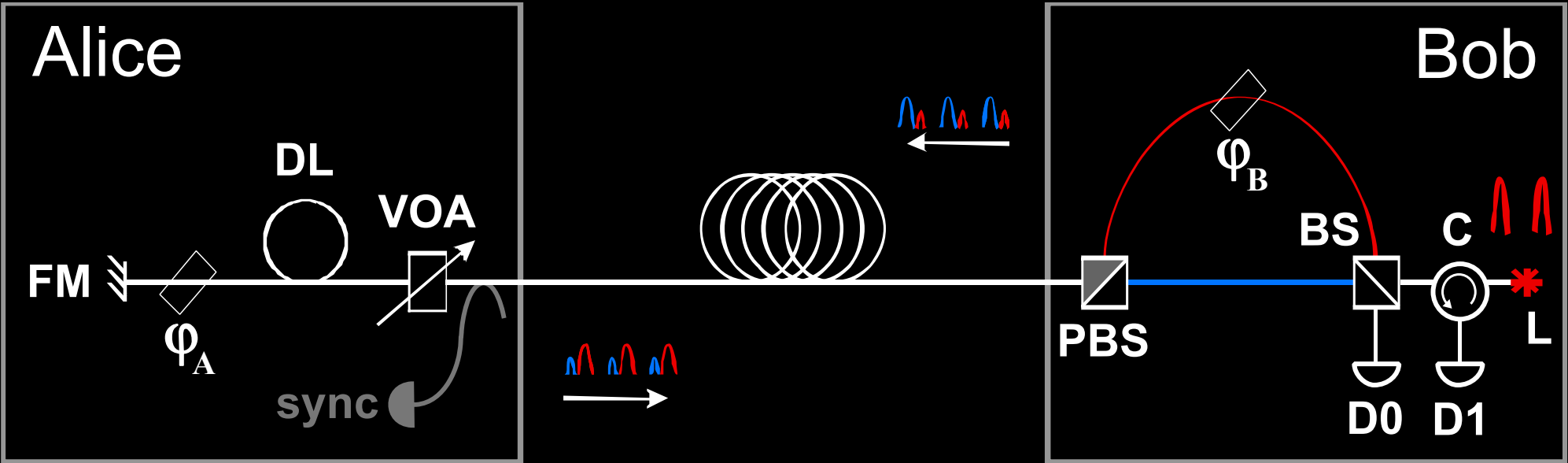
Artem Vakhitov tunes up Eve's setup

# Trojan-horse attack for plug-and-play system



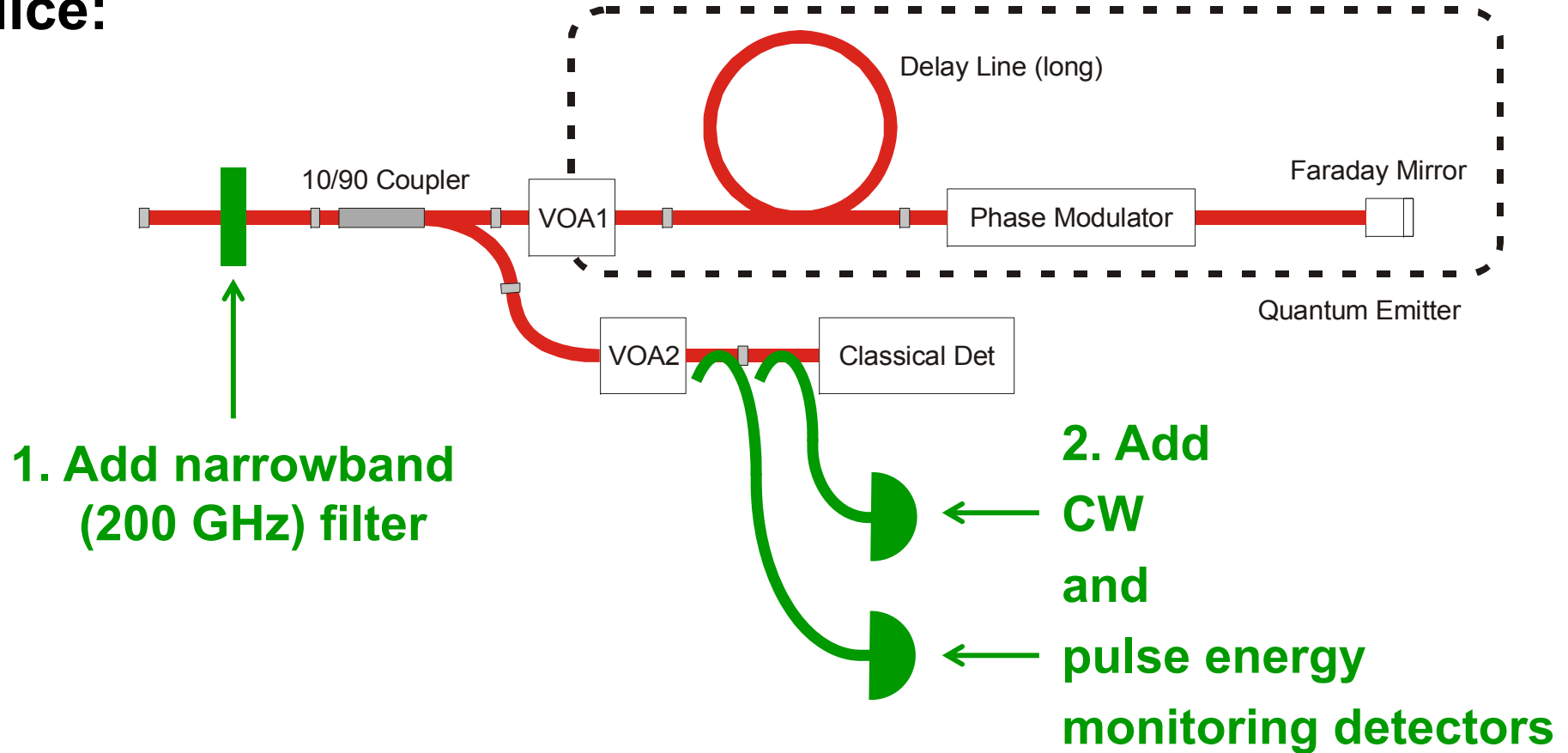
**Eve gets back one photon → in principle, extracts 100% information**

# Countermeasures?



# Countermeasures for plug-and-play system

**Alice:**



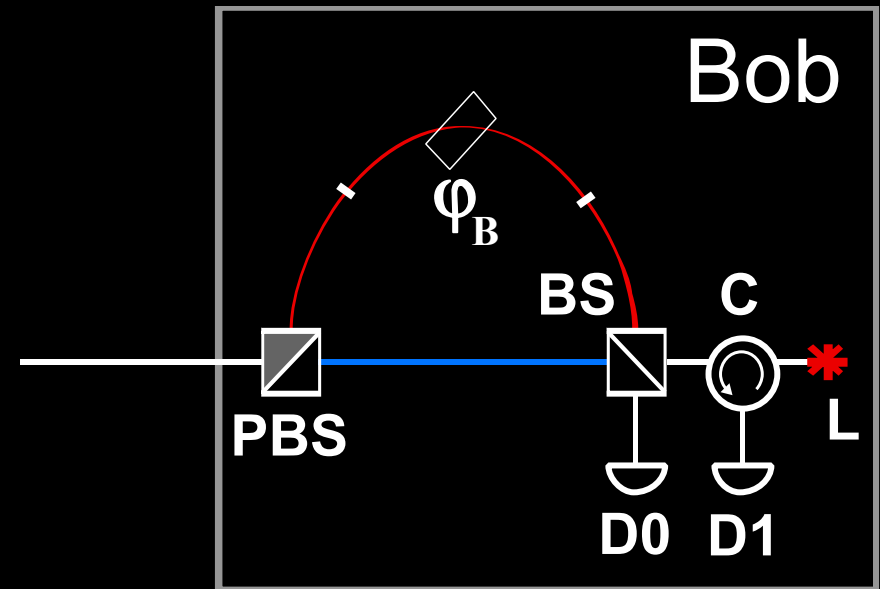
S. Sajeed, I. Radchenko, S. Kaiser, J.-P. Bourgoïn, L. Monat, M. Legré, V. Makarov, *unpublished*

**Bob: none**

**(one consequence: SARG protocol may be insecure)**

N. Jain, E. Anisimova, I. Khan, V. Makarov, Ch. Marquardt, G. Leuchs, arXiv:1406.5813

# Trojan-horse attack on Bob



# Example of vulnerability and countermeasures

## ✂ Photon-number-splitting attack

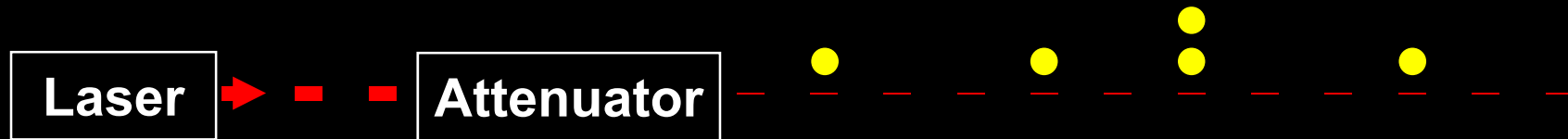
C. Bennett, F. Bessette, G. Brassard, L. Salvail, J. Smolin, J. Cryptology **5**, 3 (1992)

G. Brassard, N. Lütkenhaus, T. Mor, B. C. Sanders, Phys. Rev. Lett. **85**, 1330 (2000)

N. Lütkenhaus, Phys. Rev. A **61**, 052304 (2000)

S. Félix, N. Gisin, A. Stefanov, H. Zbinden, J. Mod. Opt. **48**, 2009 (2001)

N. Lütkenhaus, M. Jahma, New J. Phys. **4**, 44 (2002)



## ★ Decoy-state protocol

W.-Y. Hwang, Phys. Rev. Lett. **91**, 057901 (2003)

## ★ SARG04 protocol

V. Scarani, A. Acín, G. Ribordy, N. Gisin, Phys. Rev. Lett. **92**, 057901 (2004)

## ★ Distributed-phase-reference protocols

K. Inoue, E. Waks, Y. Yamamoto, Phys. Rev. Lett. **89**, 037902 (2002)

K. Inoue, E. Waks, Y. Yamamoto, Phys. Rev. A. **68**, 022317 (2003)

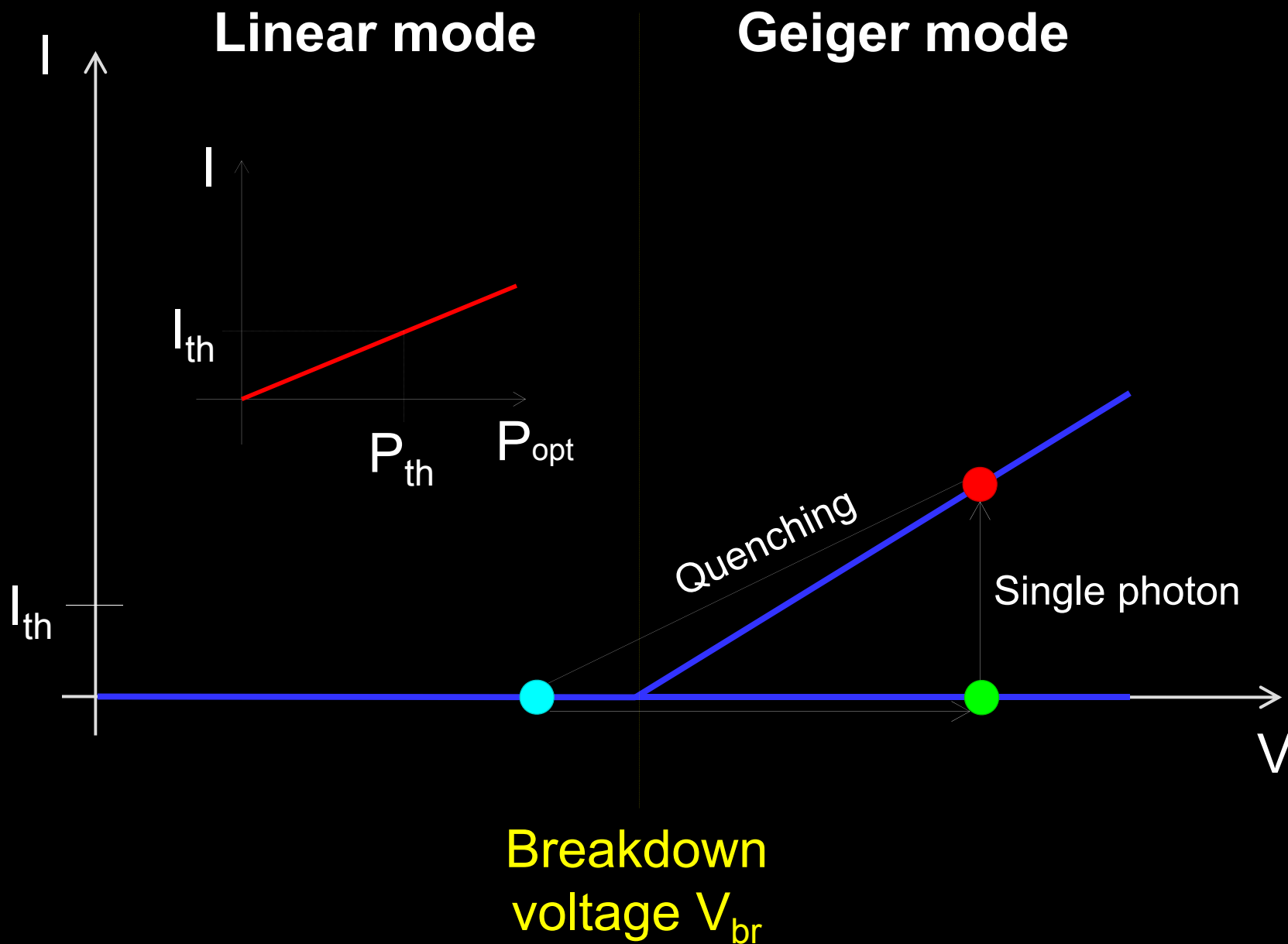
N. Gisin, G. Ribordy, H. Zbinden, D. Stucki, N. Brunner, V. Scarani, arXiv:quant-ph/0411022v1 (2004)

<b>Attack</b>	<b>Target component</b>	<b>Tested system</b>
<b>Detector saturation</b> H. Qin, R. Kumar, R. Alleaume, presentation at QCrypt (2013)	homodyne detector	SeQureNet
<b>Shot-noise calibration</b> P. Jouguet, S. Kunz-Jacques, E. Diamanti, Phys. Rev. A <b>87</b> , 062313 (2013)	sync detector	SeQureNet
<b>Wavelength-selected PNS</b> M.-S. Jiang, S.-H. Sun, C.-Y. Li, L.-M. Liang, Phys. Rev. A <b>86</b> , 032310 (2012)	intensity modulator	(theory)
<b>Multi-wavelength</b> H.-W. Li <i>et al.</i> , Phys. Rev. A <b>84</b> , 062308 (2011)	beamsplitter	research syst.
<b>Deadtime</b> H. Weier <i>et al.</i> , New J. Phys. <b>13</b> , 073024 (2011)	single-photon detector	research syst.
<b>Channel calibration</b> N. Jain <i>et al.</i> , Phys. Rev. Lett. <b>107</b> , 110501 (2011)	single-photon detector	ID Quantique
<b>Faraday-mirror</b> S.-H. Sun, M.-S. Jiang, L.-M. Liang, Phys. Rev. A <b>83</b> , 062331 (2011)	Faraday mirror	(theory)
<b>Phase-remapping</b> F. Xu, B. Qi, H.-K. Lo, New J. Phys. <b>12</b> , 113026 (2010)	phase modulator	ID Quantique
<b>Detector control</b> I. Gerhardt <i>et al.</i> , Nat. Commun. <b>2</b> , 349 (2011) L. Lydersen <i>et al.</i> , Nat. Photonics <b>4</b> , 686 (2010)	single-photon detector	ID Quantique, MagiQ, research syst.
<b>Time-shift</b> Y. Zhang <i>et al.</i> , Phys. Rev. A <b>79</b> , 042302 (2009)	single-photon detector	ID Quantique

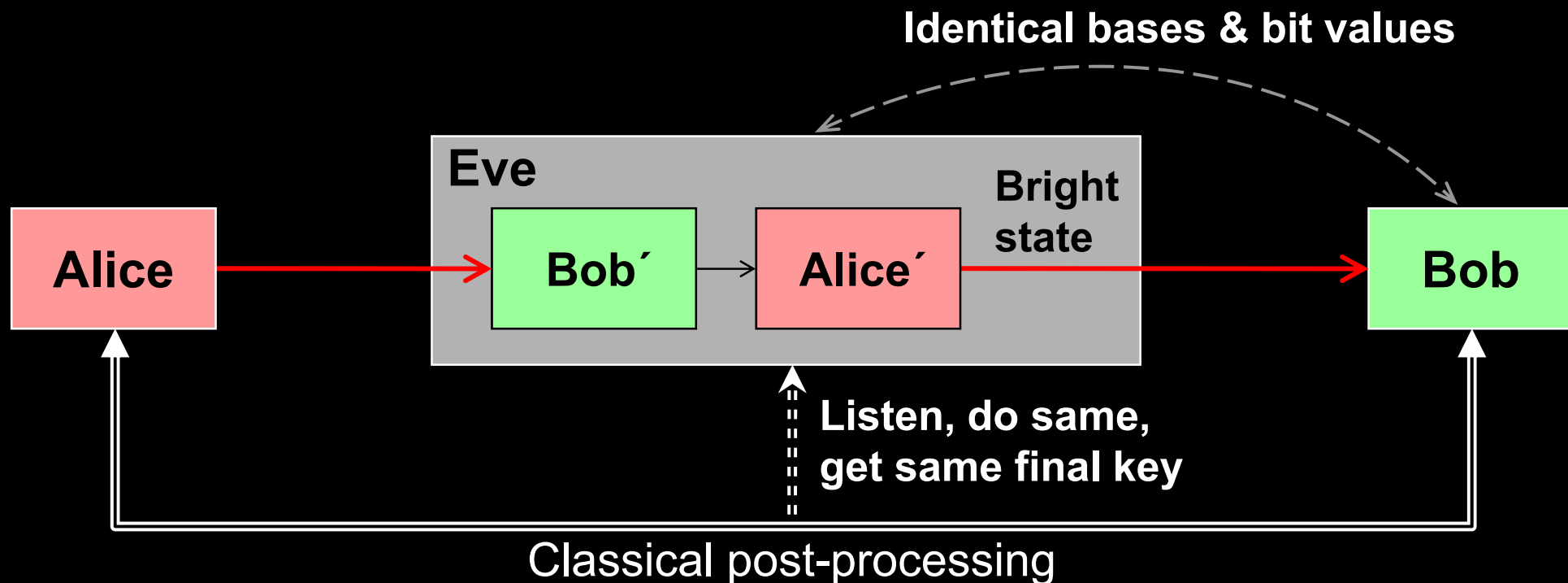


<b>Attack</b>	<b>Target component</b>	<b>Tested system</b>
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<b>Multi-wavelength</b> H.-W. Li <i>et al.</i> , Phys. Rev. A <b>84</b> , 062308 (2011)	beamsplitter	research syst.
<b>Deadtime</b> H. Weier <i>et al.</i> , New J. Phys. <b>13</b> , 073024 (2011)	single-photon detector	research syst.
<b>Channel calibration</b> N. Jain <i>et al.</i> , Phys. Rev. Lett. <b>107</b> , 110501 (2011)	single-photon detector	ID Quantique
<b>Faraday-mirror</b> S.-H. Sun, M.-S. Jiang, L.-M. Liang, Phys. Rev. A <b>83</b> , 062331 (2011)	Faraday mirror	(theory)
<b>Phase-remapping</b> F. Xu, B. Qi, H.-K. Lo, New J. Phys. <b>12</b> , 113026 (2010)	phase modulator	ID Quantique
<b>Detector control</b> I. Gerhardt <i>et al.</i> , Nat. Commun. <b>2</b> , 349 (2011) L. Lydersen <i>et al.</i> , Nat. Photonics <b>4</b> , 686 (2010)	single-photon detector	ID Quantique, MagiQ, research syst.
<b>Time-shift</b> Y. Zhang <i>et al.</i> , Phys. Rev. A <b>79</b> , 042309 (2009)	single-photon detector	ID Quantique

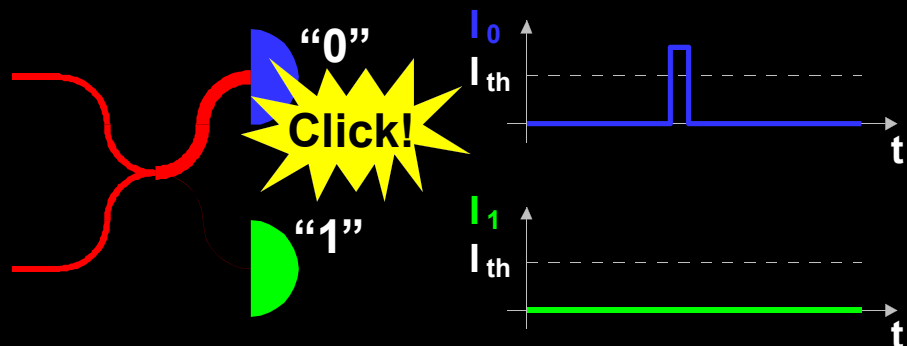
# Attack example: avalanche photodetectors (APDs)



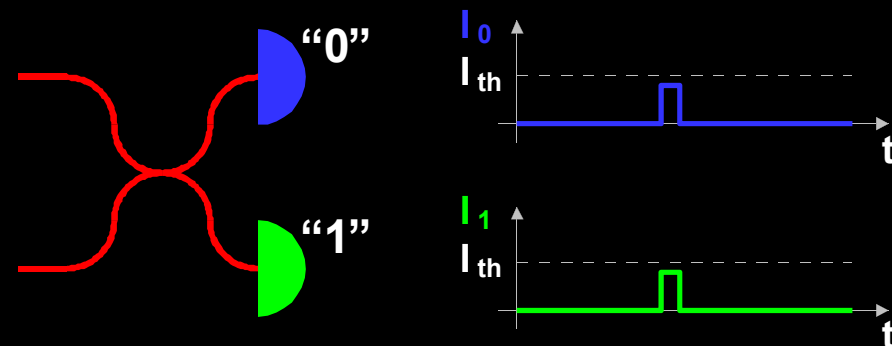
# Faked-state attack in APD linear mode



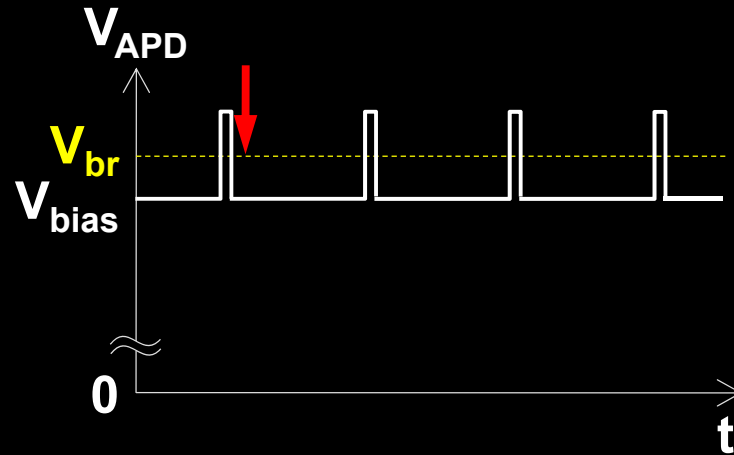
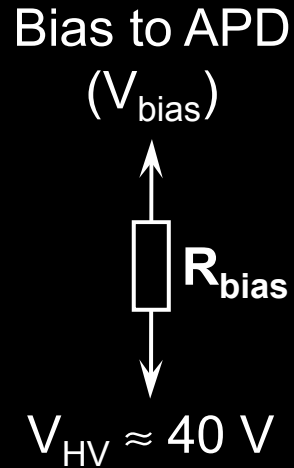
Bob chooses same basis as Eve:



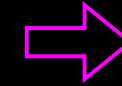
Bob chooses different basis:



# Blinding APD with bright light



Eve applies CW light



**Detector blind!**  
Zero dark count rate

Input illumination, mW



ID Quantique  
Clavis2

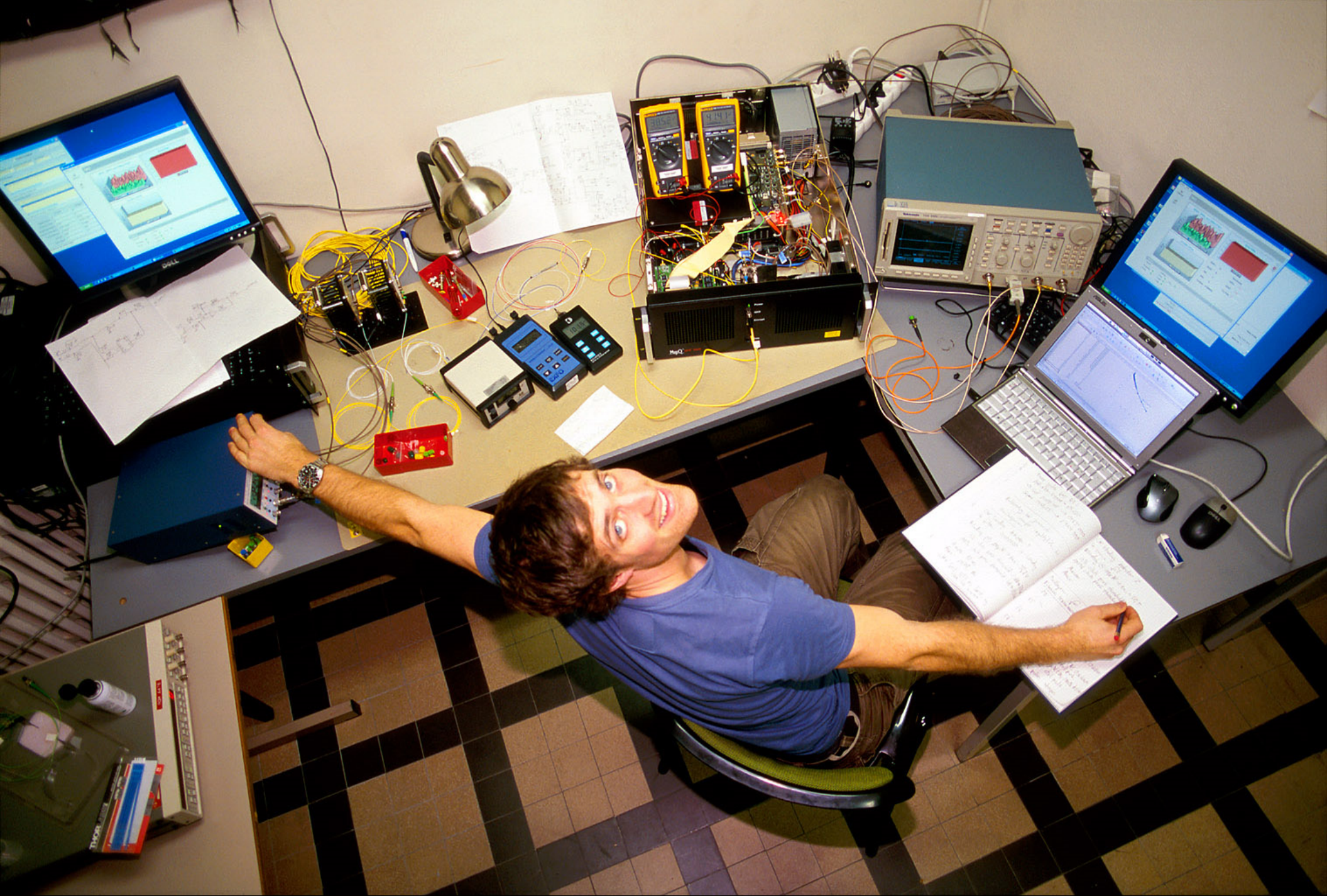
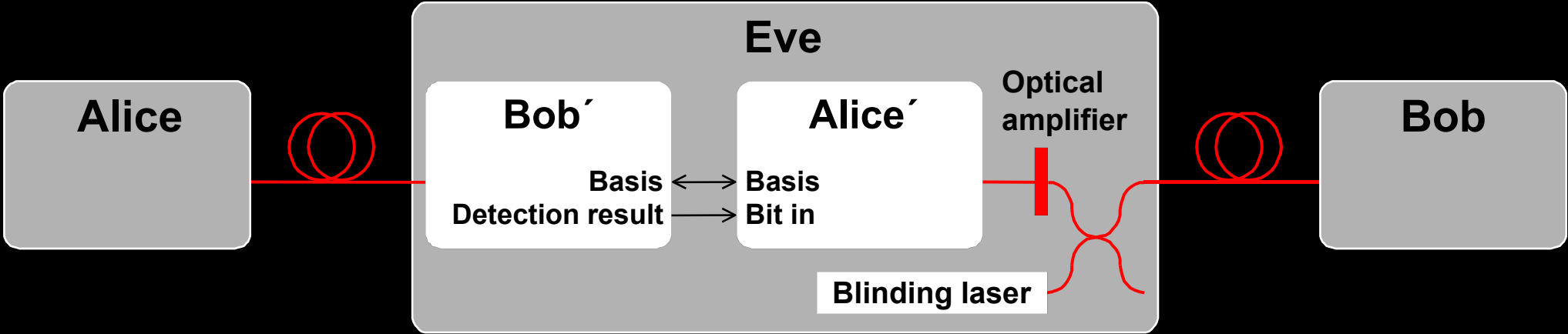


Photo ©2010 Vadim Makarov

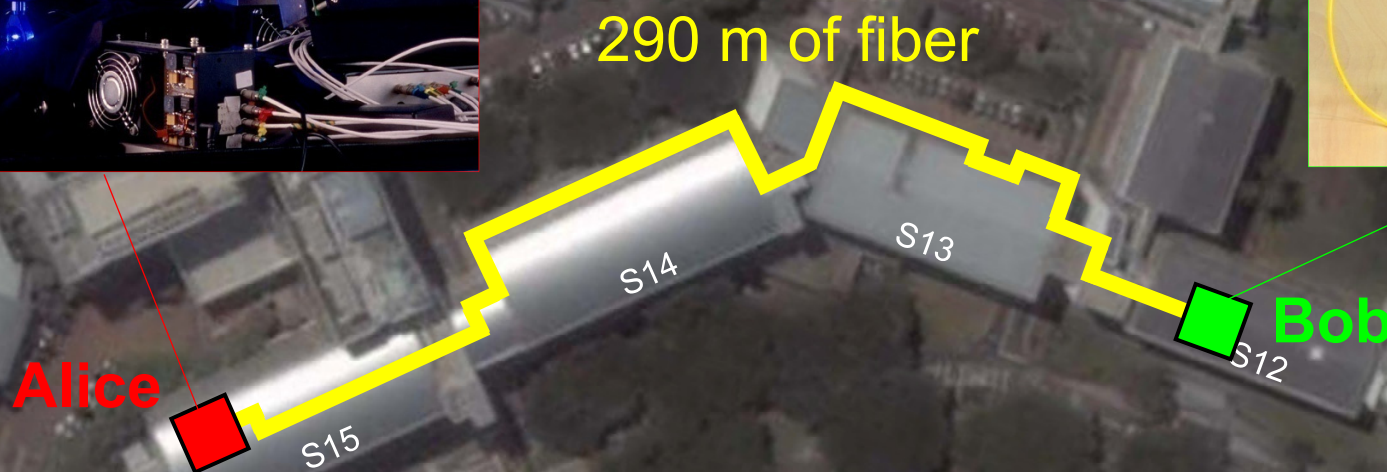
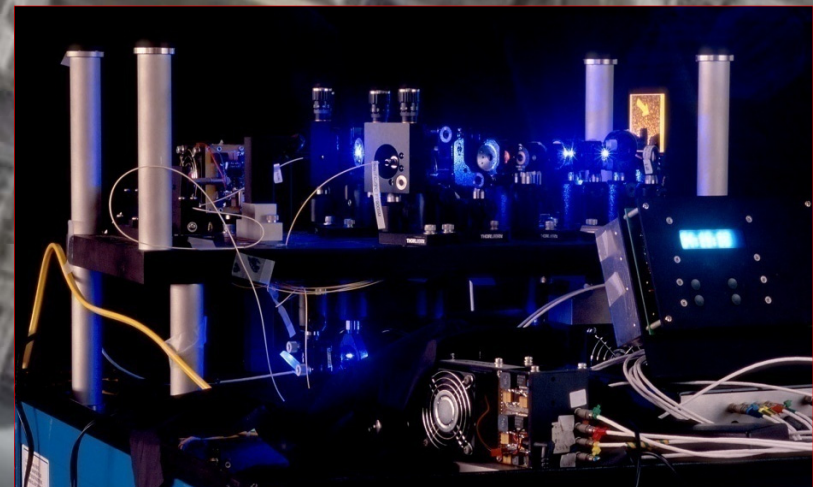
Lars Lydersen testing MagiQ Technologies QPN 5505

# Proposed full eavesdropper

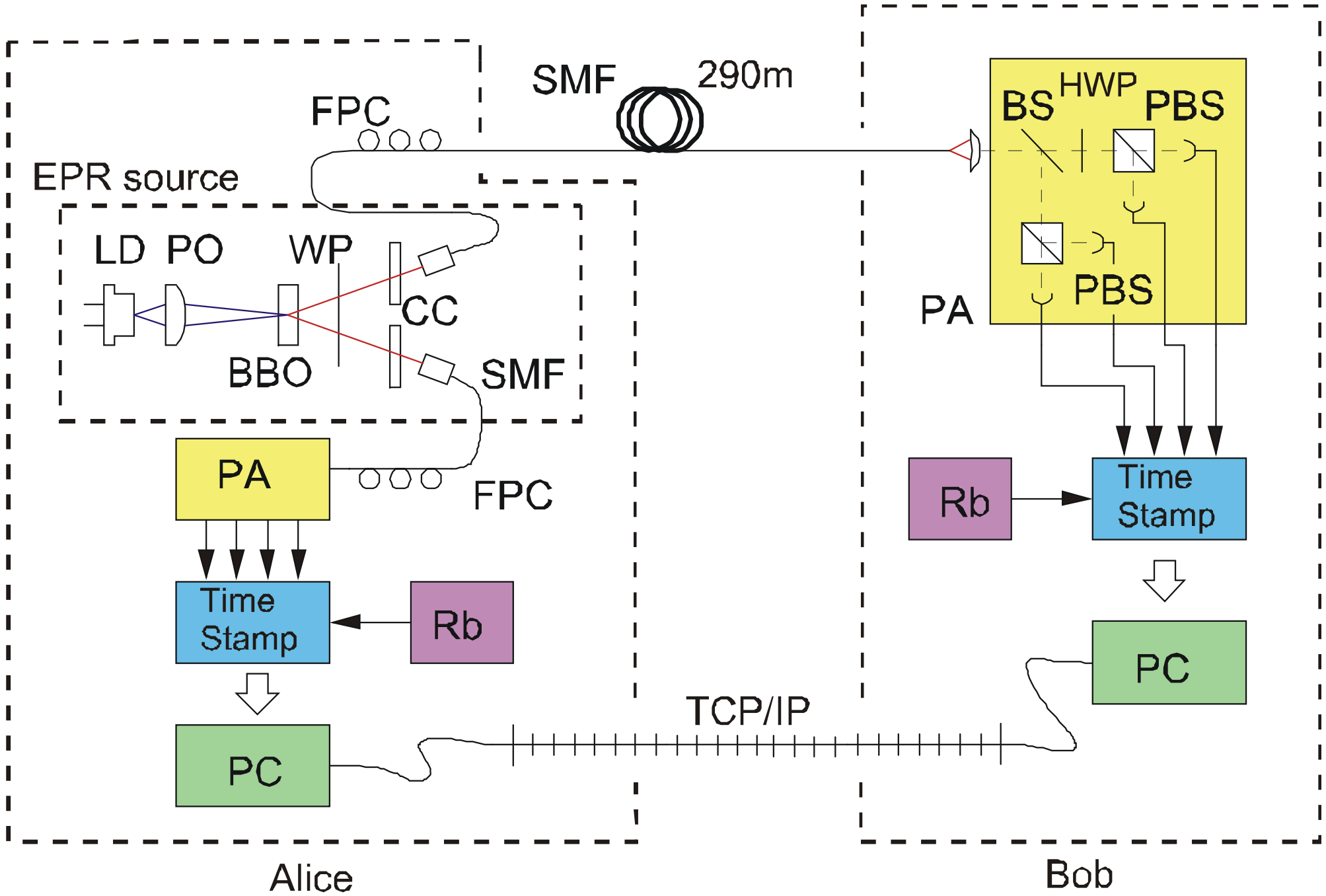


# Eavesdropping 100% key on installed QKD line

on campus of the National University of Singapore, July 4–5, 2009



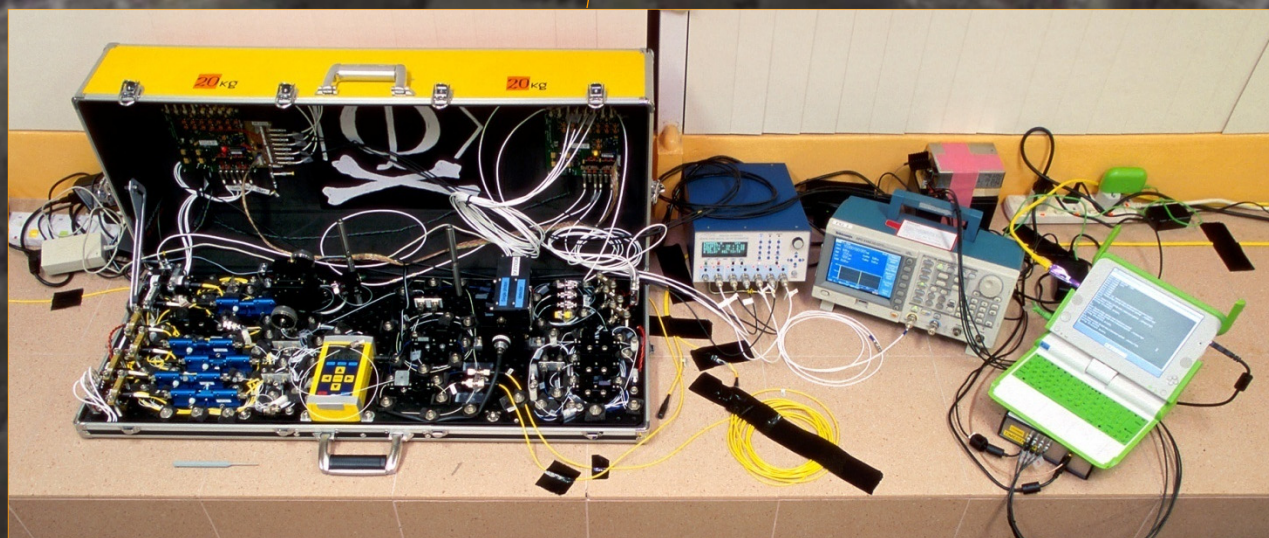
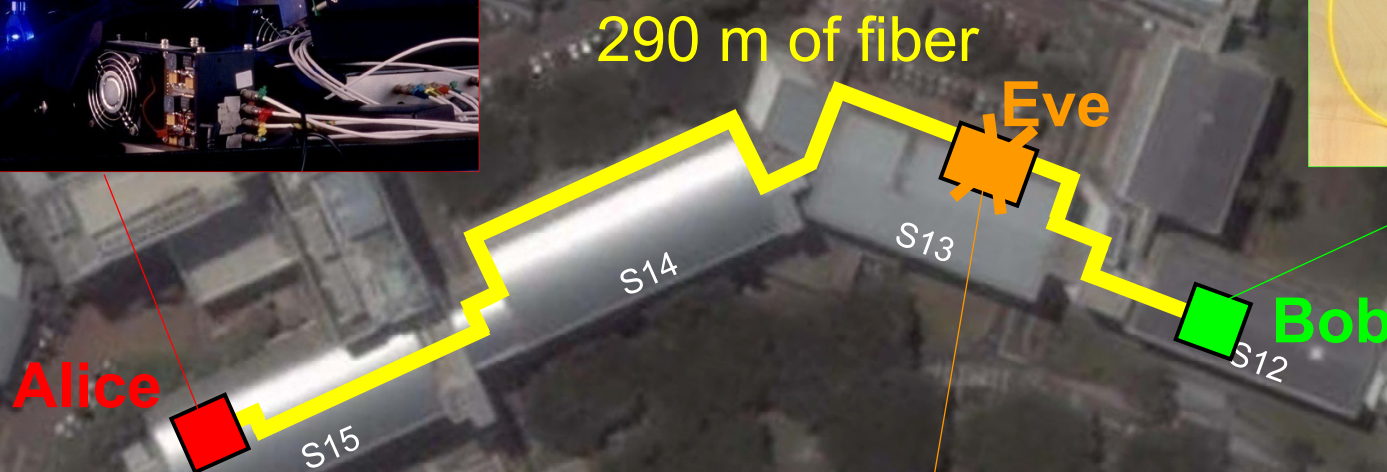
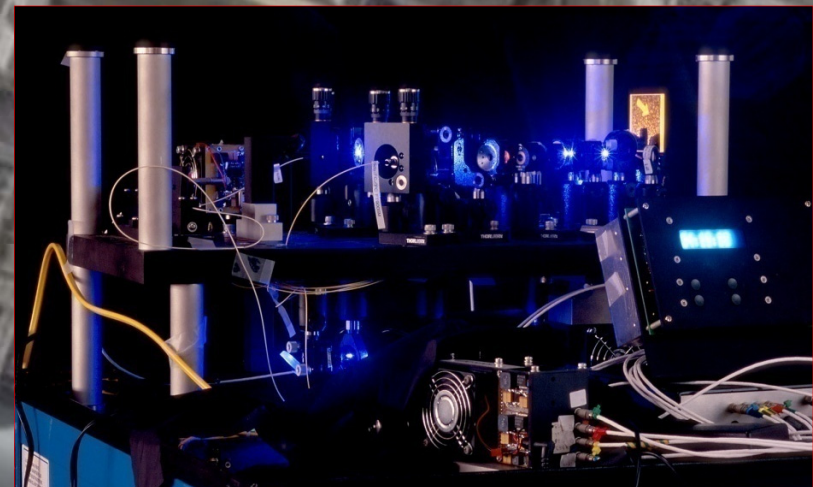
# Entanglement-based QKD





# Eavesdropping 100% key on installed QKD line

on campus of the National University of Singapore, July 4–5, 2009

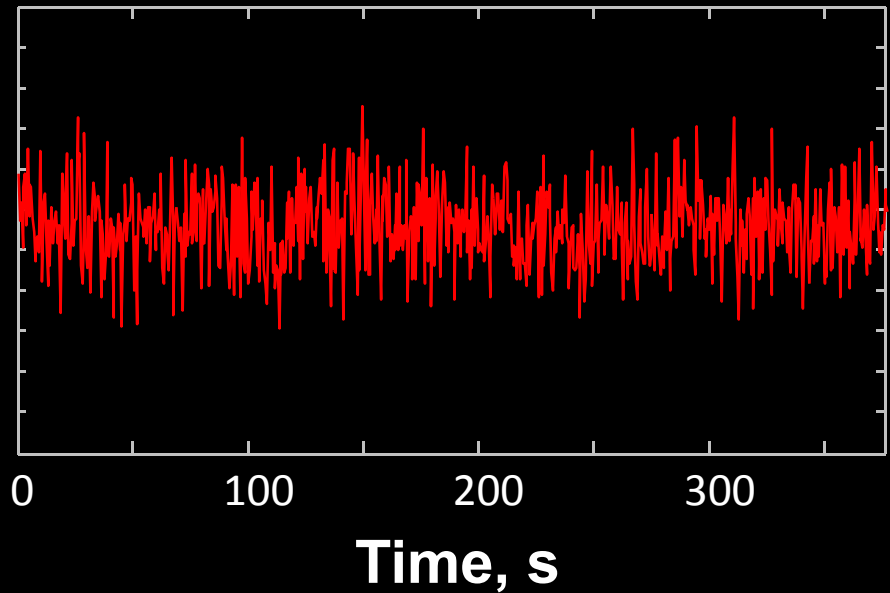
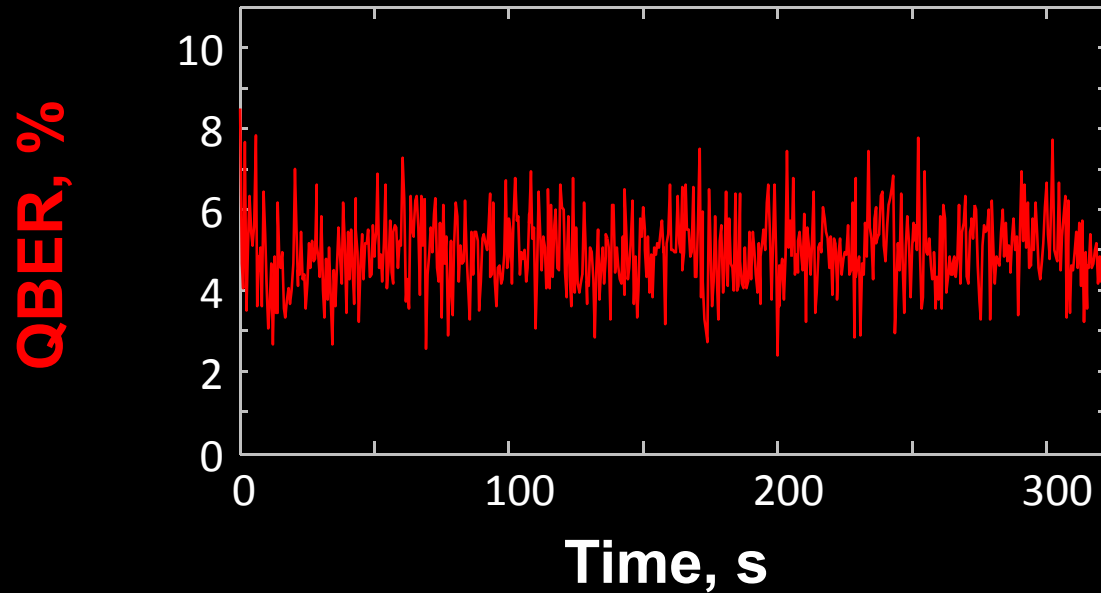
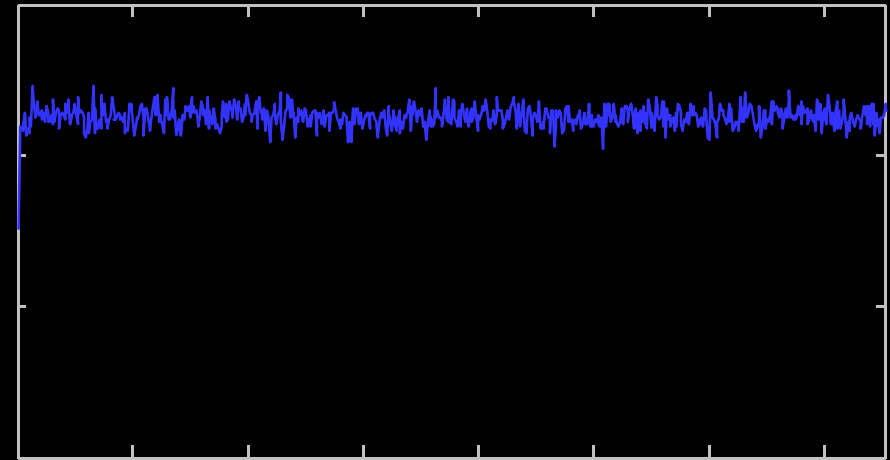
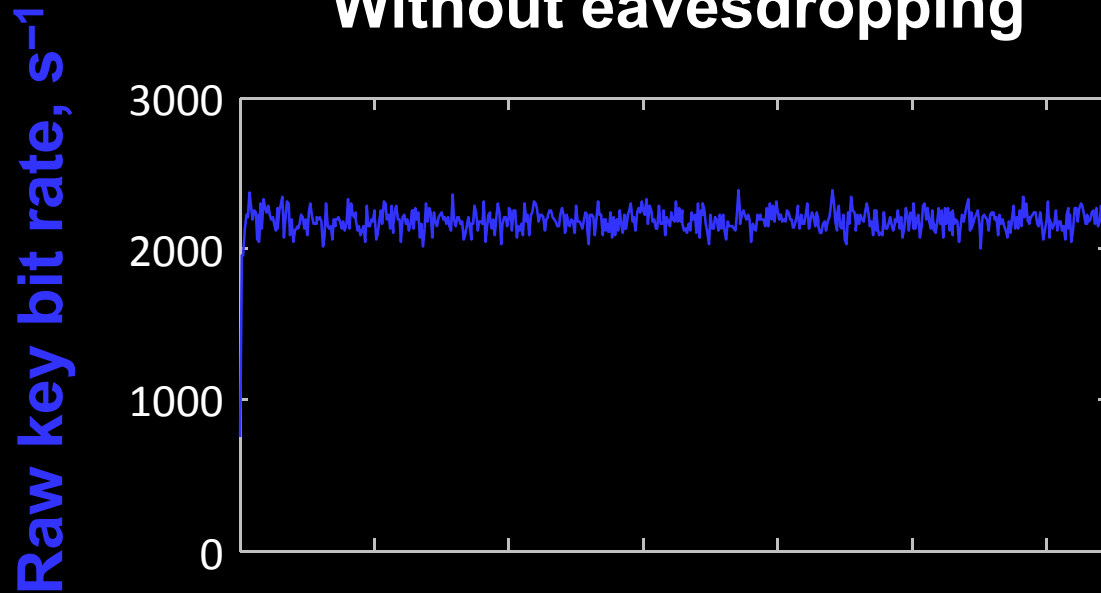


I. Gerhardt, Q. Liu *et al.*,  
Nat. Commun. 2, 349 (2011)

# Eve does not affect QKD performance

## Without eavesdropping

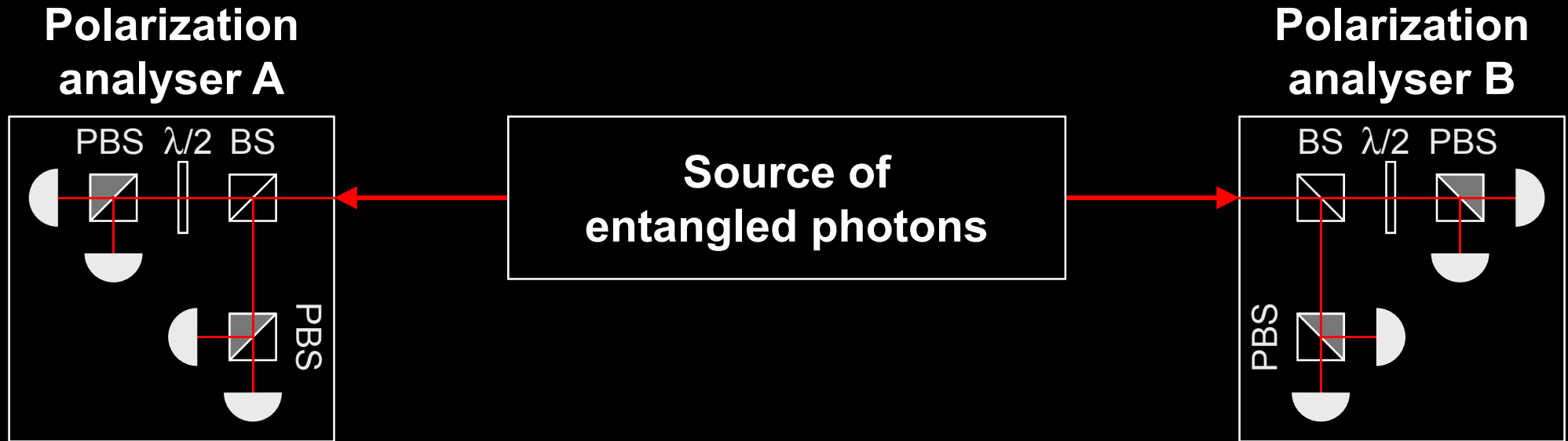
## During eavesdropping



# Faking violation of Bell inequality

**CHSH inequality:**  $|S = E_{AB} + E_{A'B} + E_{AB'} - E_{A'B'}| \leq 2$   
 $E \in [-1, 1]$

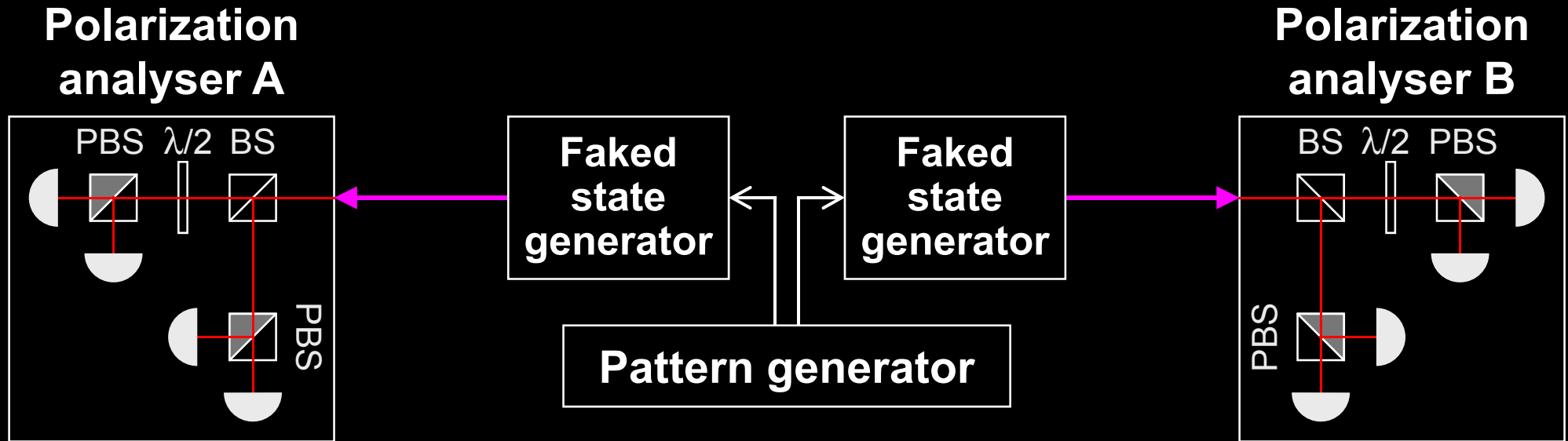
**Entangled photons:**  $|S| \leq 2\sqrt{2}$



# Faking violation of Bell inequality

**CHSH inequality:**  $|S = E_{AB} + E_{A'B} + E_{AB'} - E_{A'B'}| \leq 2$   
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**Entangled photons:**  $|S| \leq 2\sqrt{2}$

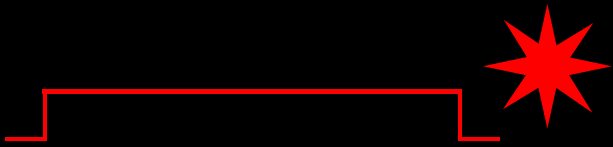


**Passive basis choice:**  $|S| \leq 4$ , click probability = 100%

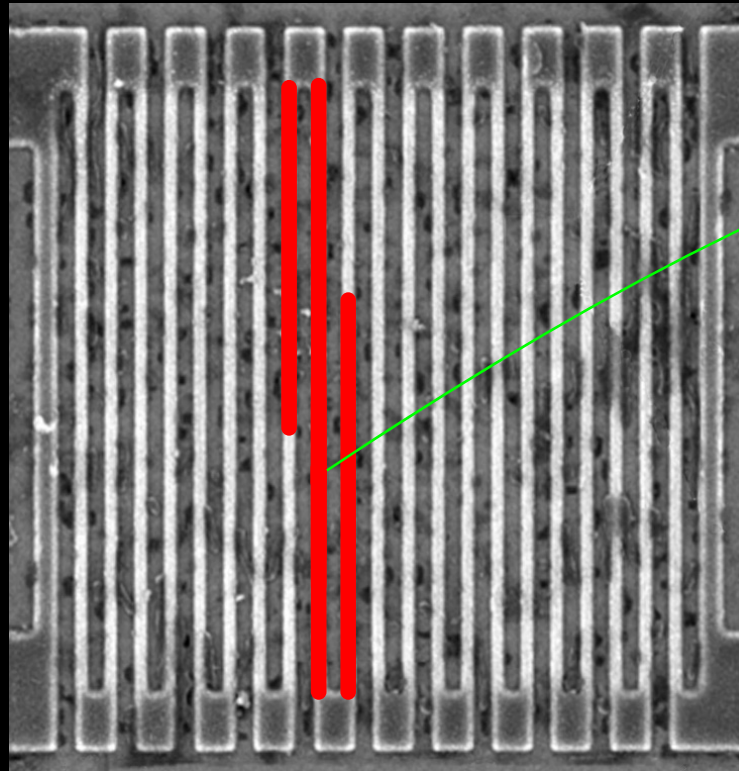
**Active basis choice:**  $|S| \leq 2\sqrt{2}$  (4), click probability = 66.7% (50%)

# Controlling superconducting nanowire single-photon detectors

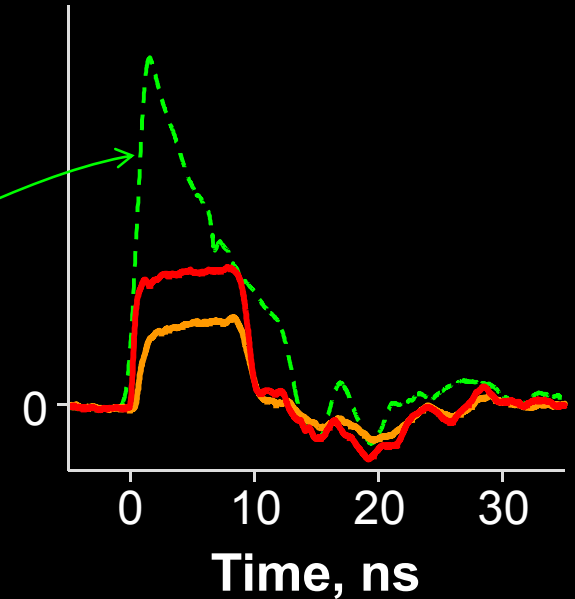
## 1. Blind (latch)



## 2. Control



Comparator input voltage, a.u.



Normal single-photon click

14 mW pulse

7 mW pulse

# Countermeasures to detector attacks

## Band-aid



- ★ **Software patch to randomly vary detector sensitivity**

M. Legre, G. Ribordy, intl. patent appl. WO 2012/046135 A2 (filed in 2010)

- ★ **Monitoring extra electrical parameters in detector**

Z. L. Yuan, J. F. Dynes, A. J. Shields, Appl. Phys. Lett. **98**, 231104 (2011)

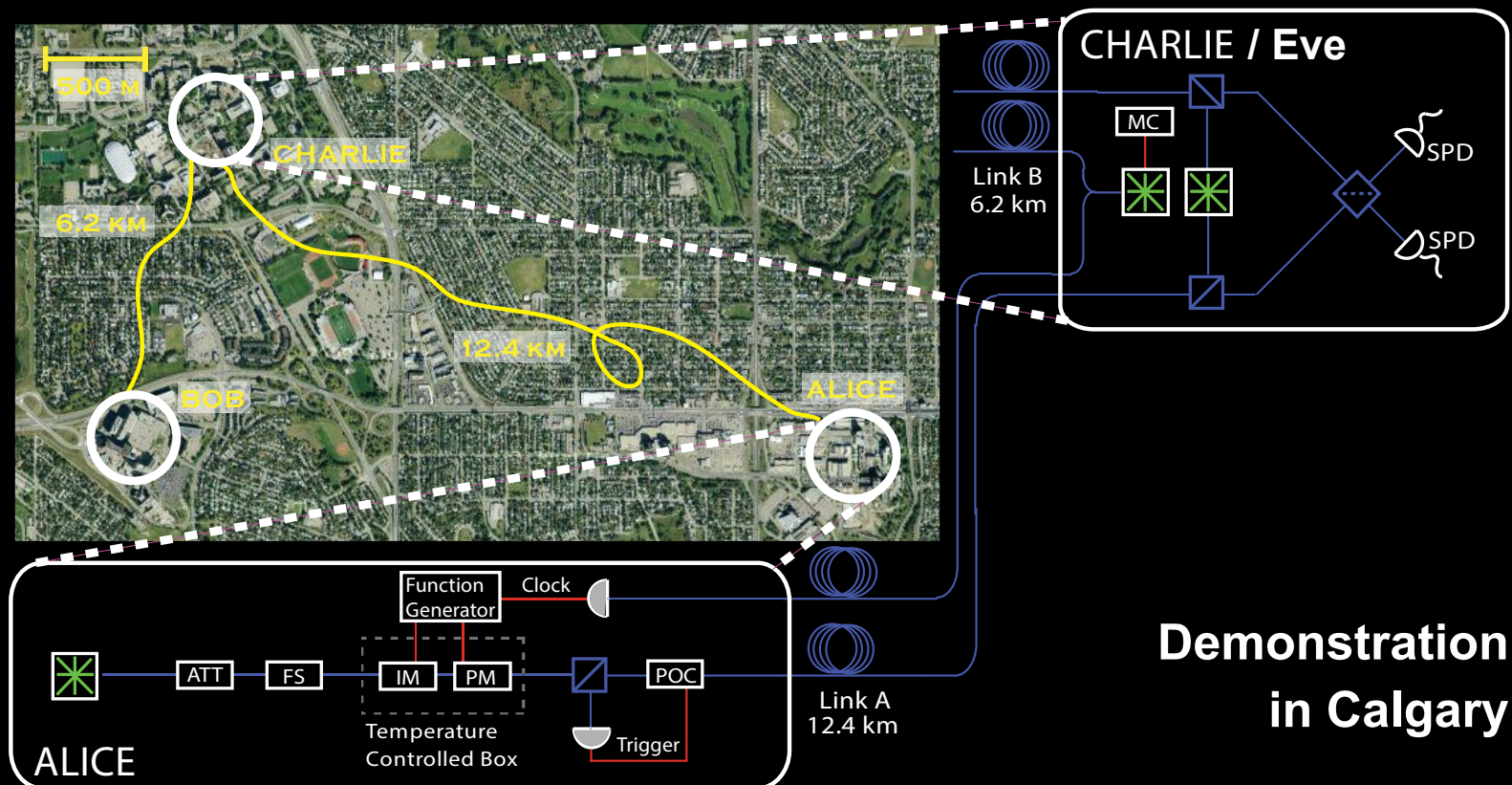
...

## Integrated into security model



- ★ **Measurement-device-independent QKD**

H.-K. Lo, M. Curty, B. Qi, Phys. Rev. Lett. **108**, 130503 (2012)



**Demonstration  
in Calgary**

2009

# Responsible disclosure is important

## Example: hacking commercial systems

● ID Quantique got a detailed vulnerability report

- reaction: requested time, developed a patch

M. Legre, G. Ribordy, intl. patent appl. WO 2012/046135 A2 (filed in 2010)

2010

● MagiQ Technologies got a detailed vulnerability report

- reaction: informed us that QPN 5505 is discontinued

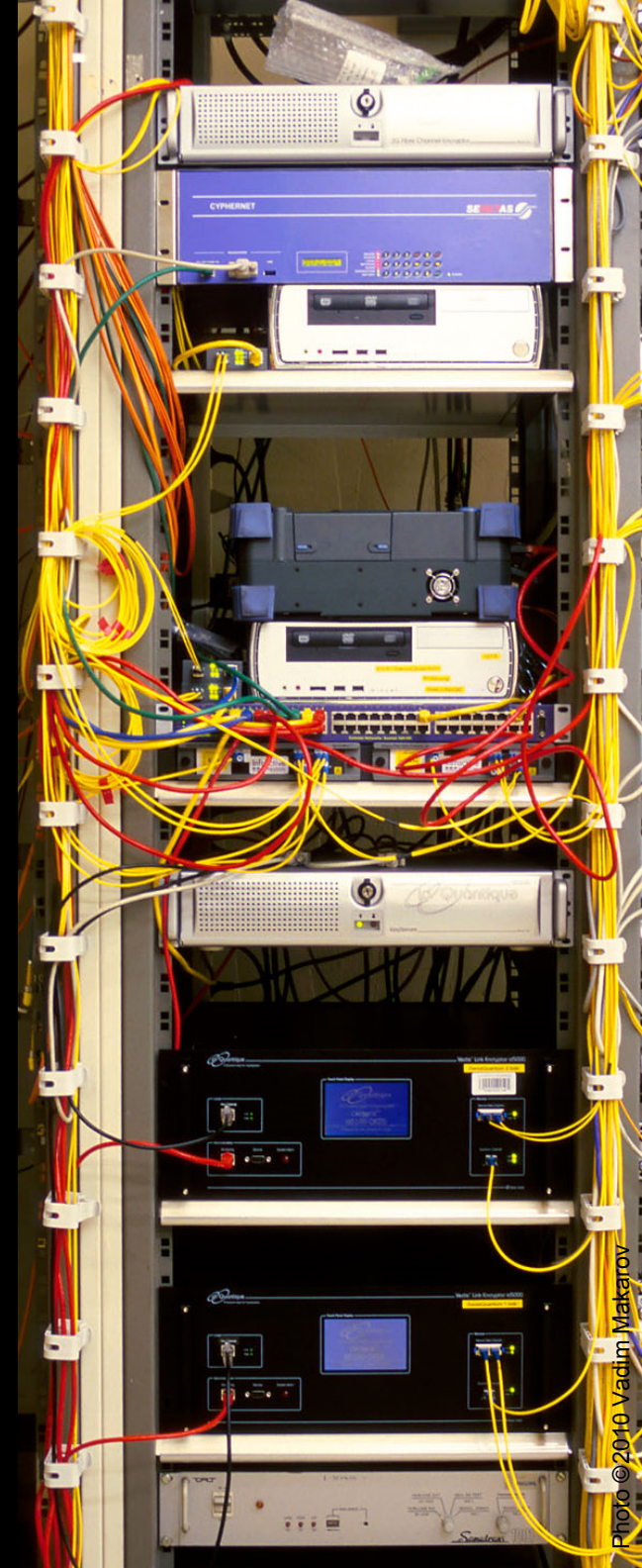
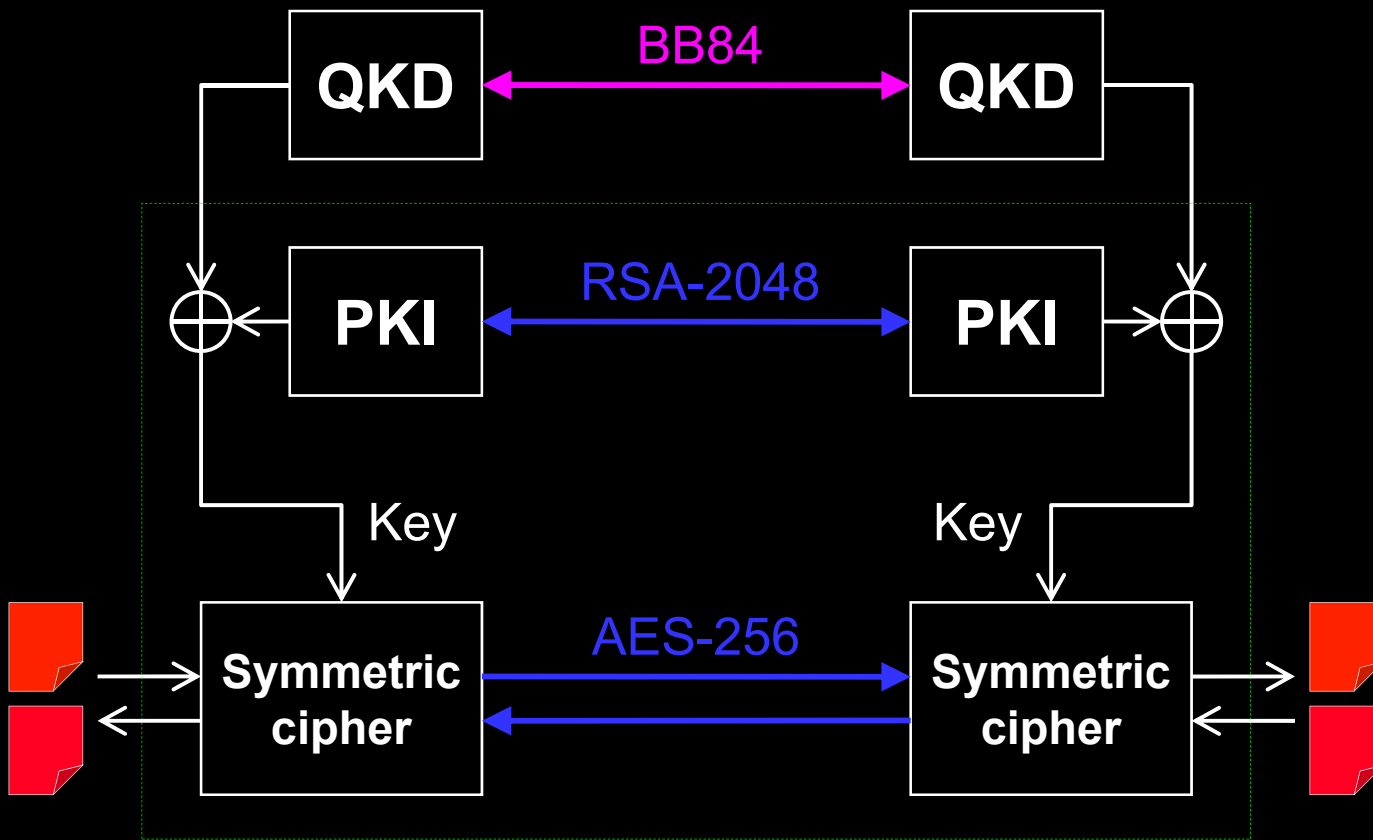
● Results presented orally at a scientific conference

● Public disclosure in a journal paper

L. Lydersen *et al.*, Nat. Photonics 4, 686 (2010)

# Can we eavesdrop on commercial systems?

## ID Quantique's Cerberis: Dual key agreement





# Some other topics in experimental quantum cryptography...

- **Continuous-variable QKD**
- **Differential-phase-shift-keying protocols**
- **Quantum repeaters**
- **Device-independent QKD**

**Quantum cryptography is a viable complement to aging classical cryptography methods**

**Quantum cryptography has implementation imperfections, too, and the research community handles this problem successfully**



[www.vad1.com/lab](http://www.vad1.com/lab)